



FEDERAZIONE NAZIONALE  
IMPRESE ELETTROTECNICHE  
ED ELETTRONICHE



CONFINDUSTRIA

DAL 1945 IL VALORE DELL'INNOVAZIONE

# Esempio di applicazione delle norme EN ISO 13849-1 e EN IEC 62061



# Esempio: Pressa per cuscinetti



**Valutazione del rischio  
Secondo EN ISO 14121**



FEDERAZIONE NAZIONALE  
IMPRESE ELETTROTECNICHE  
ED ELETTRONICHE



CONFINDUSTRIA

DAL 1945 IL VALORE DELL'INNOVAZIONE

**AssoAutomazione**

Associazione Italiana  
Automazione e Misura

# Valutazione del rischio

**Hazard assessment following EN 1050**

Valuation | Cross-references

Display: Yes (hazard occurs)

- use limits
  - Crushing hazard
    - Pressing Zone
      - Foreseeable misuse (1)
      - Commissioning, adjustments (2)
      - Maintenance (5)
      - Troubleshooting (3)
      - Cleaning (4)
      - Teaching, programming (3)
      - Normal operation (1)
      - Malfunctioning (1)
  - Entanglement hazard
  - Stabbing or puncture hazard
  - Unexpected start-up
  - Pressing Zone
  - time limits
  - space limits

1. Limit of the machine: use limits

2. Hazard occurs:  Yes  No  Possibly

3. Hazard location: Pressing Zone

4. Phase of the machinery life: Normal operation

5. Hazard description: Crushing of limbs by the die (Due to manual intervention -human reaction- while machine still runs)

6. Measures:

No.	Measure	Type	Risk
1	Lightcurtain (AOPD) witch 14mm resolution Type 4	CSE	IN : 6 OUT : 0

7. Safety achieved

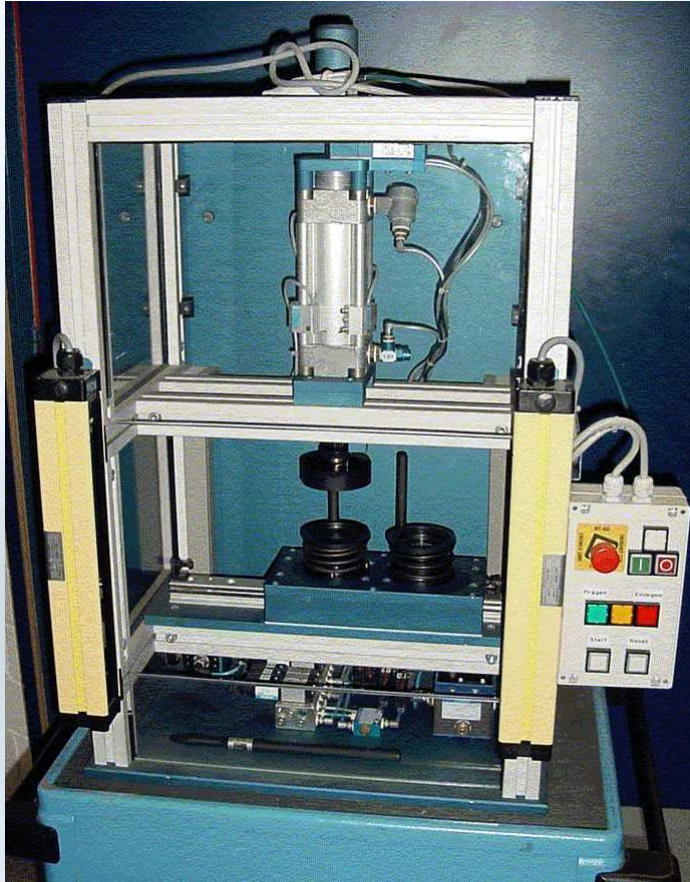
Close

1.1 Crushing hazard

Mechanical hazard due to - crushing



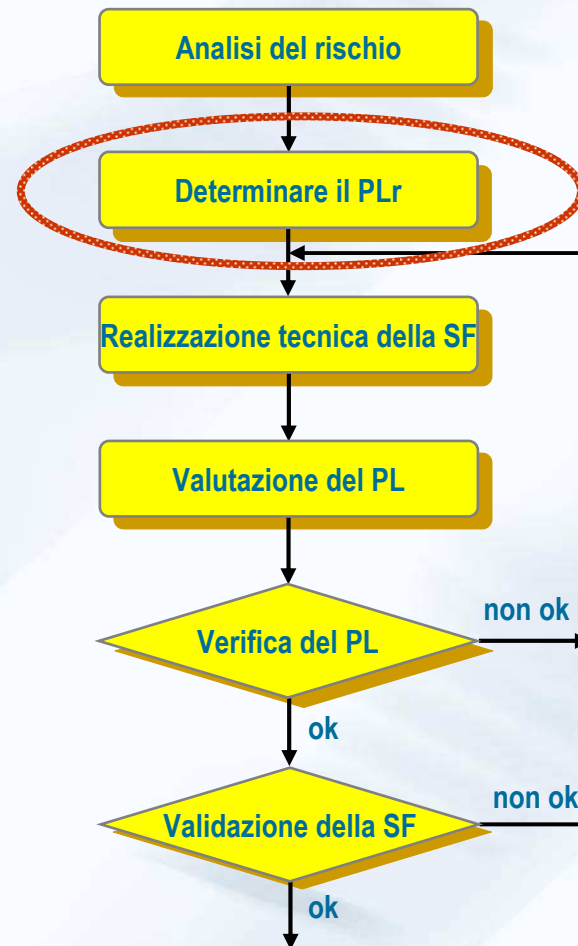
# Funzione di sicurezza



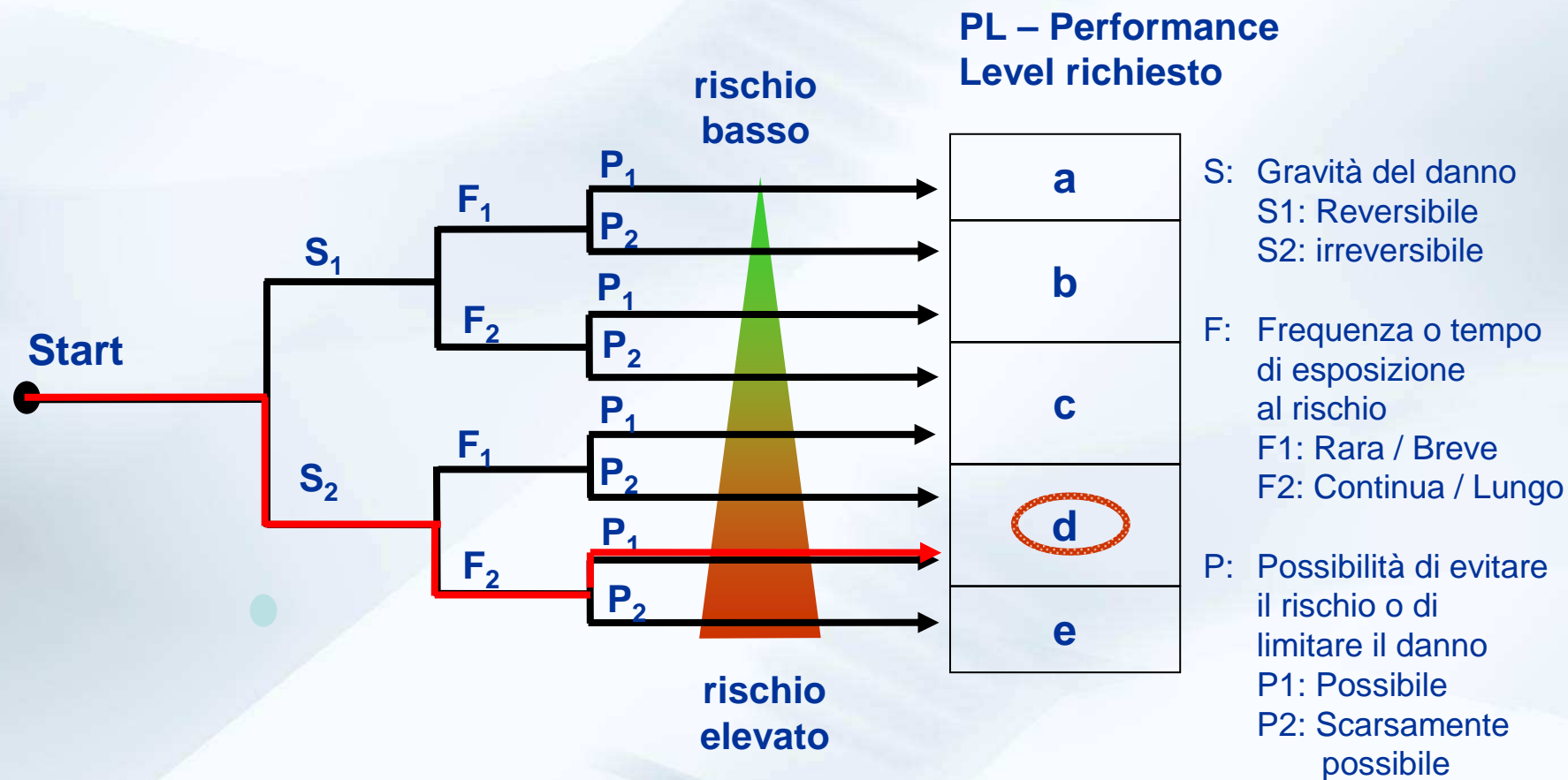
SF:

*Il movimento del cilindro deve essere fermato quando il campo protetto della barriera viene infranto*

# Riduzione del rischio



# Determinazione del PLr



# Progettare il sistema di sicurezza



FEDERAZIONE NAZIONALE  
IMPRESE ELETTROTECNICHE  
ED ELETTRONICHE



CONFINDUSTRIA

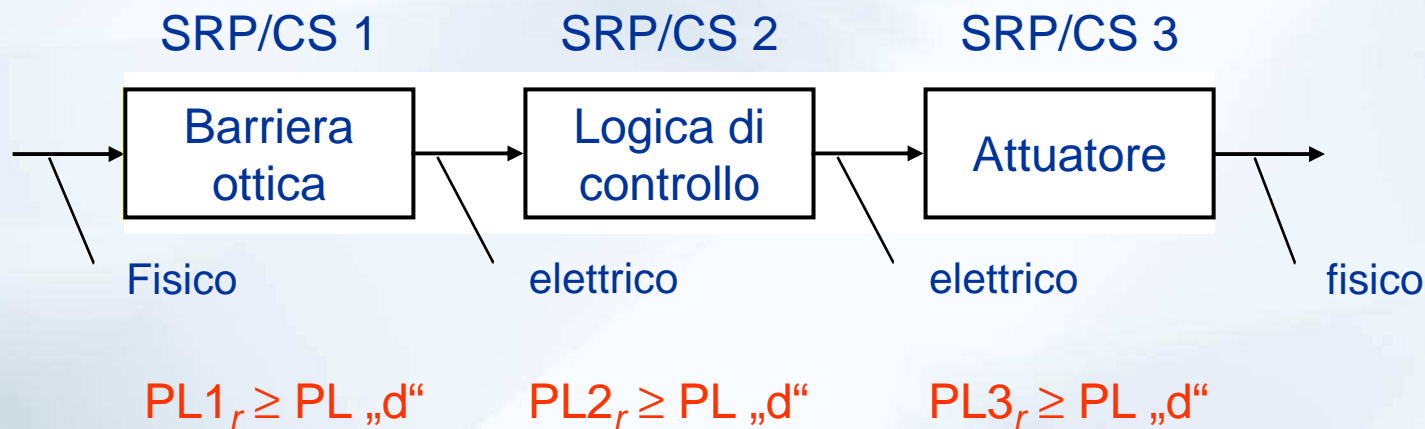
DAL 1945 IL VALORE DELL'INNOVAZIONE

**AssoAutomazione**

Associazione Italiana  
Automazione e Misura



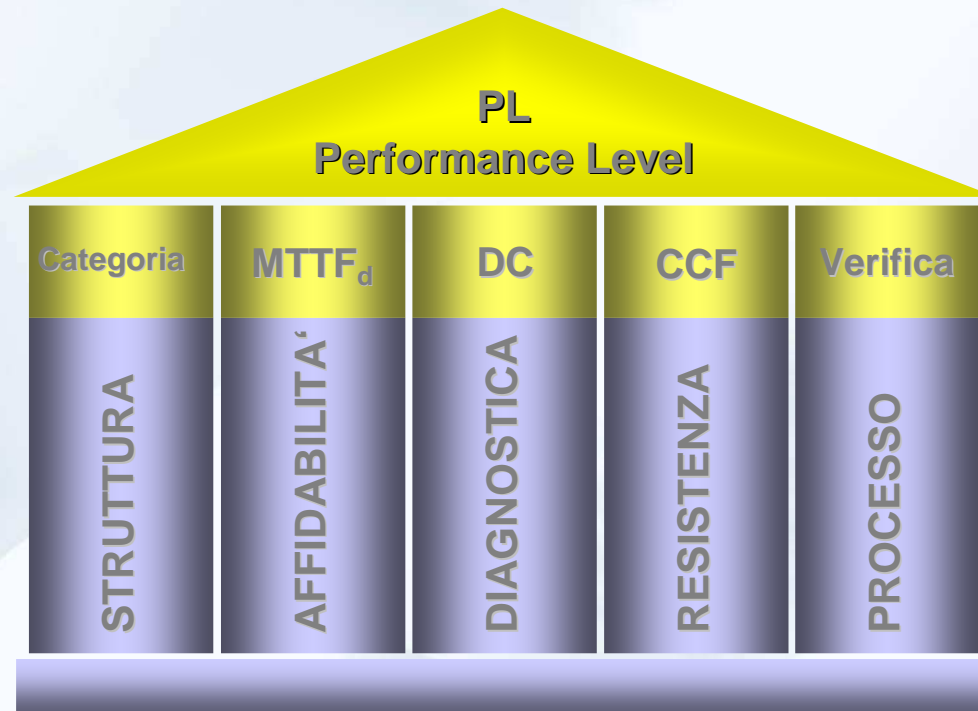
# Parti relative alla sicurezza dei sistemi di controllo



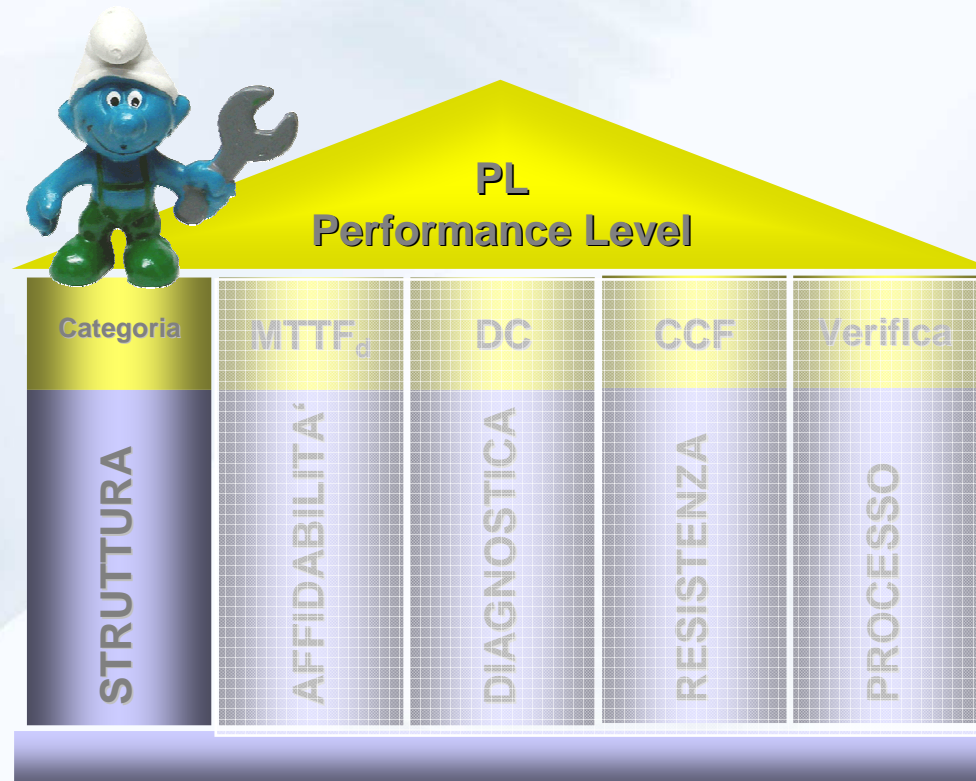
*„Una funzione di sicurezza può essere composta da uno o più SRP/CS, e più funzioni di sicurezza possono utilizzare gli stessi SRP/CS “*



# Determinare il PL per SRP/CS



# Aspetti strutturali



FEDERAZIONE NAZIONALE  
IMPRESE ELETTROTECNICHE  
ED ELETTRONICHE

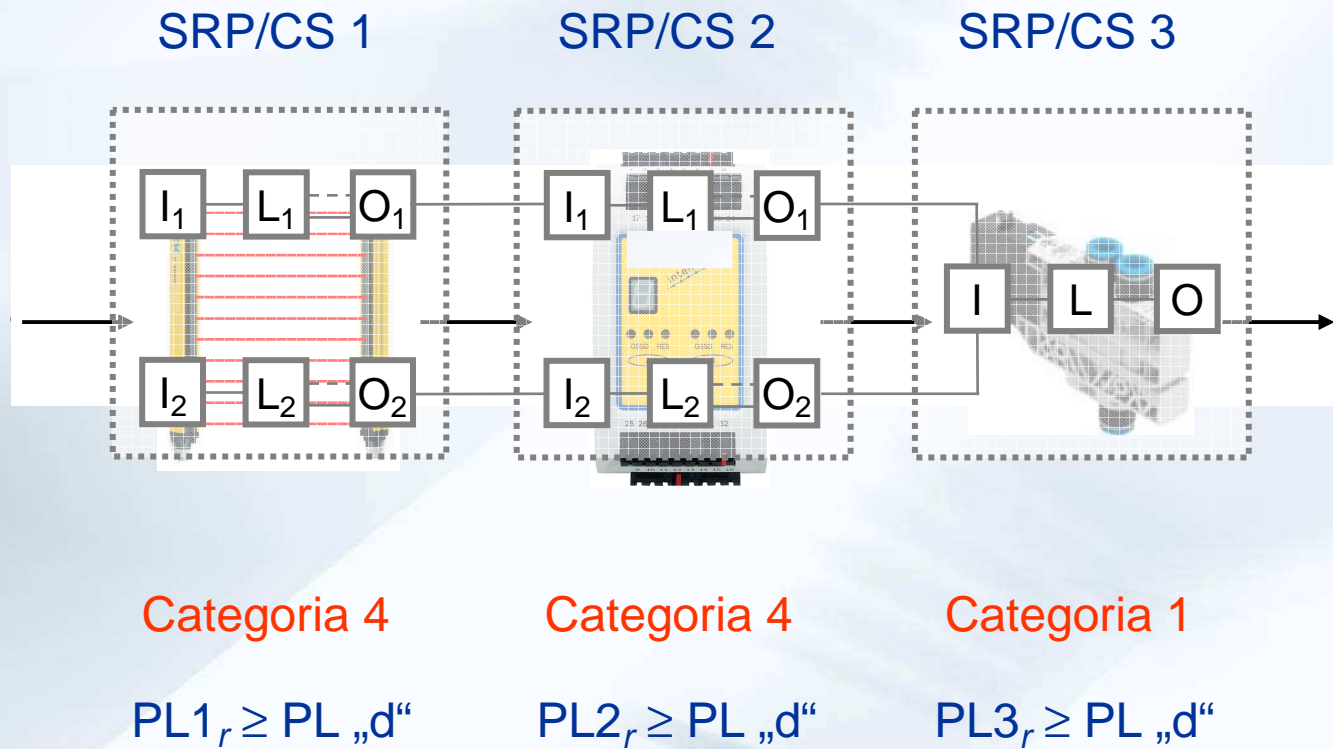


DAL 1945 IL VALORE DELL'INNOVAZIONE

**AssoAutomazione**

Associazione Italiana  
Automazione e Misura

# Circuito di stop di sicurezza

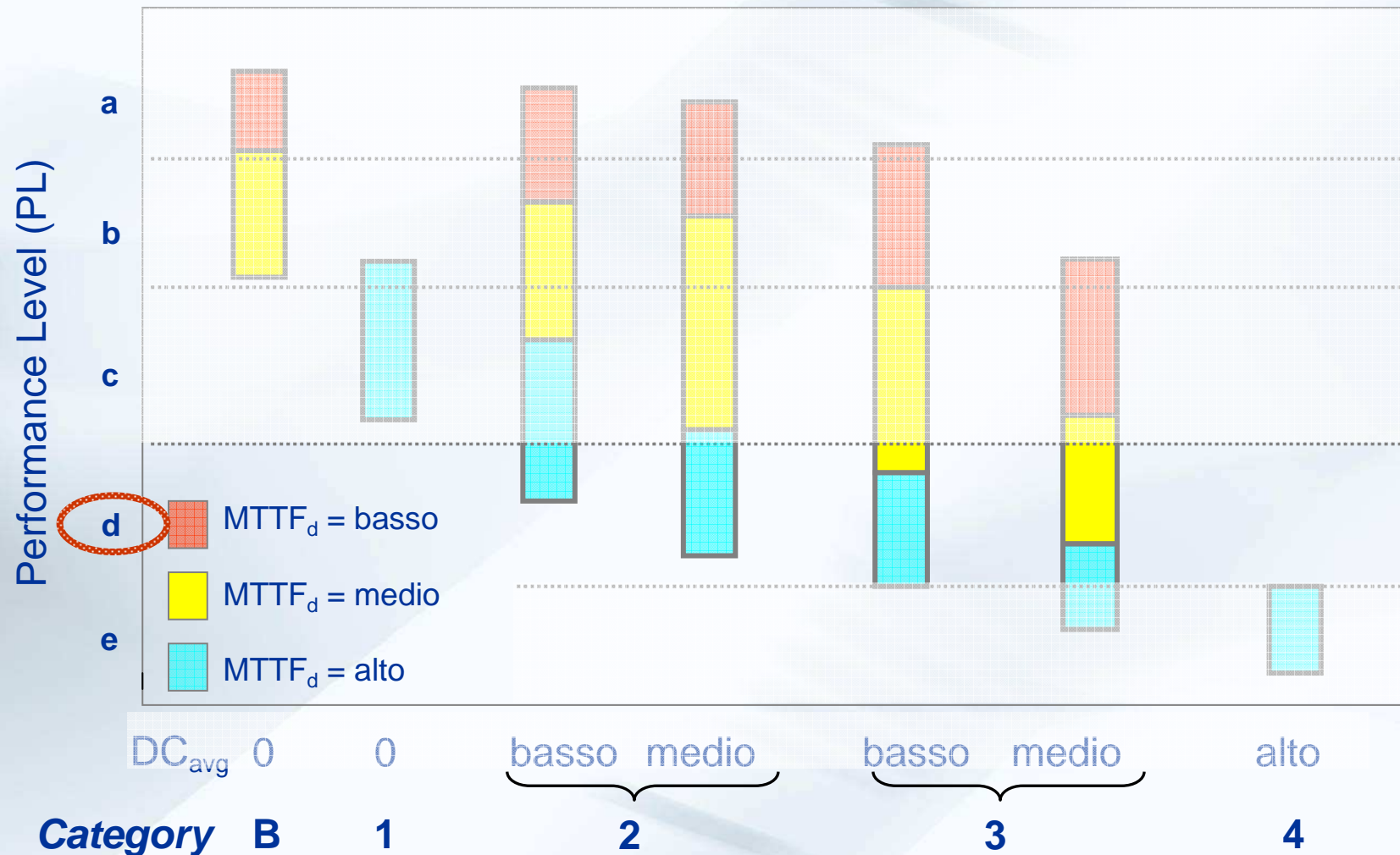


# Aspetti di affidabilità





# Relazione MTTF e Categoria con PL

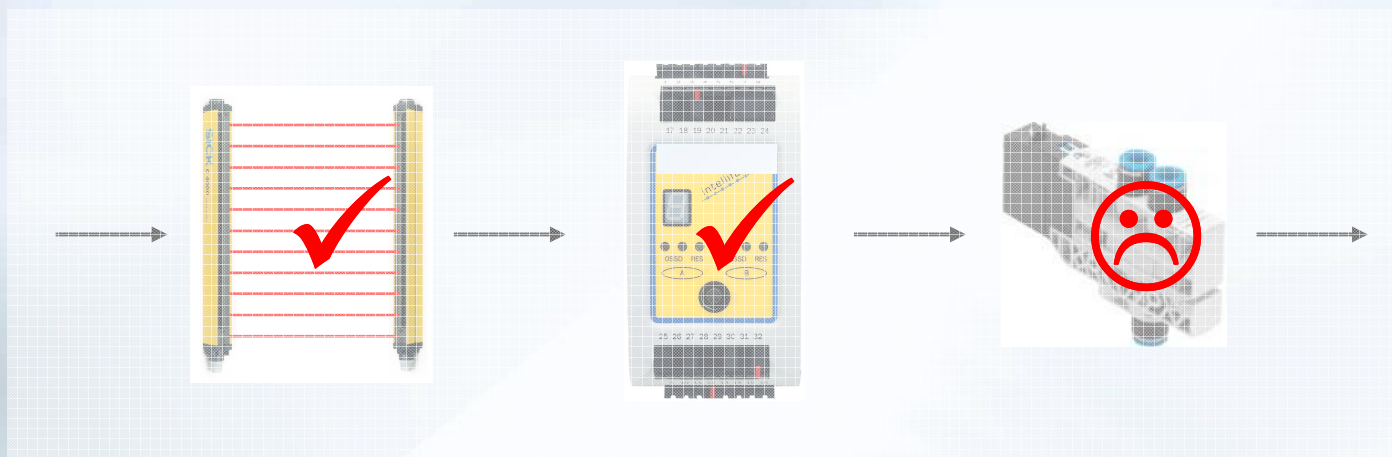


# Circuito di stop di sicurezza

SRP/CS 1

SRP/CS 2

SRP/CS 3



Categoria 4

Categoria 4

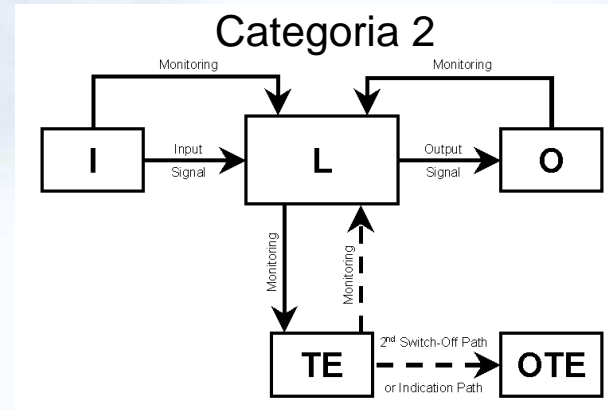
Categoria 1

$PL1_r \geq PL_{„d“}$

$PL2_r \geq PL_{„d“}$

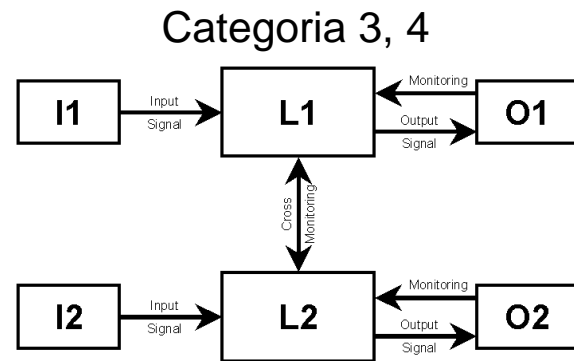
$PL3_r \geq PL_{„d“}$

# Struttura alternativa



*„Per categoria 2: freq. Della richiesta £ 1/100 ·freq. Di test“*

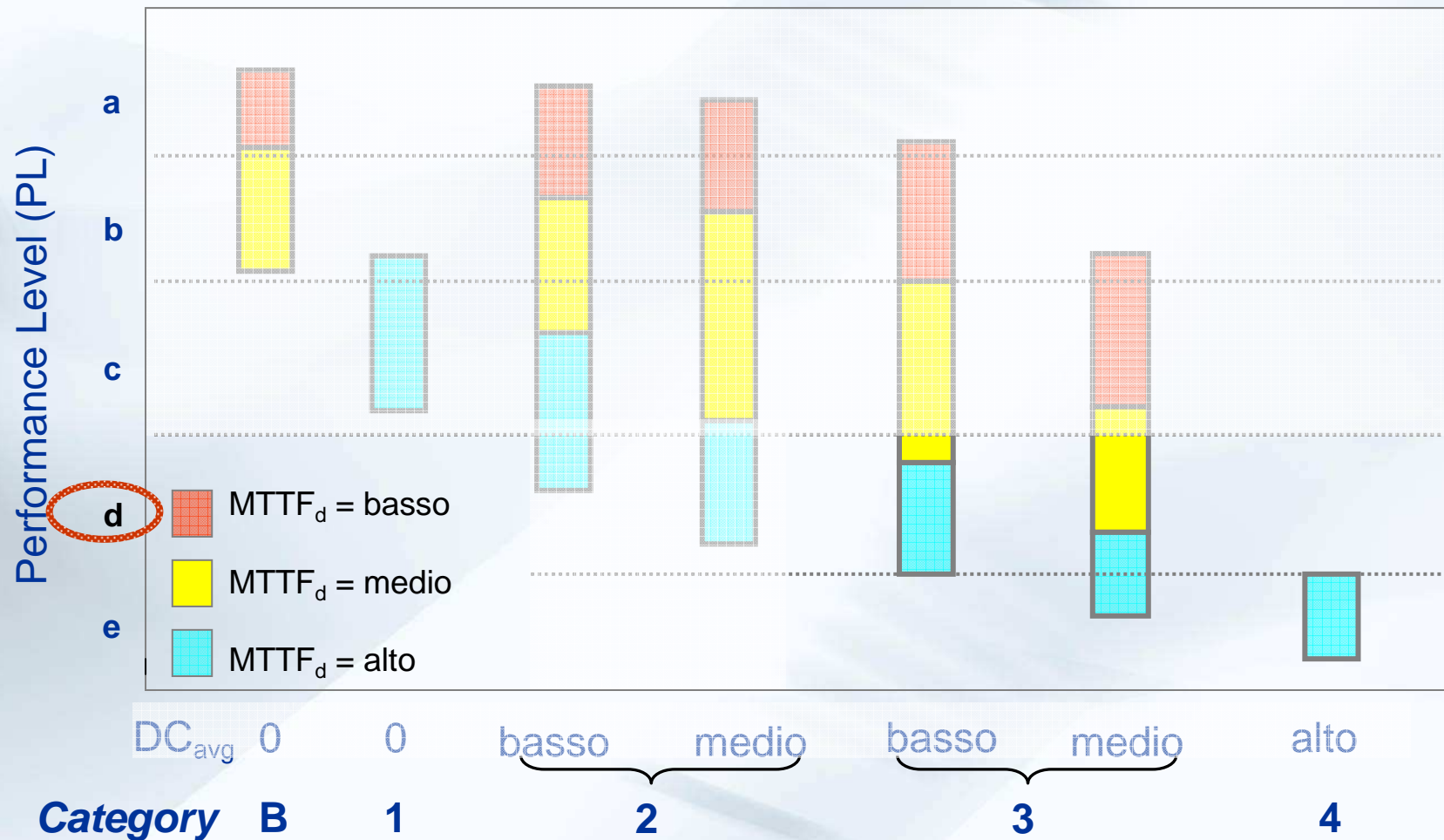
# Struttura alternativa



*„Corto circuito controllato da un PLC di sicurezza“*



# Relazione MTTF e Categoria con PL



# Calcolo di $MTTF_d$



$$MTTF_d = \frac{B_{10d}}{0,1 \cdot n_{op}}$$

$$MTTF_d = \frac{B_{10d}}{0,1 \cdot d_{op} \cdot h_{op} \cdot C}$$

$$MTTF_d = \frac{20.000.000}{0,1 \cdot 220 \frac{d}{a} \cdot 8 \frac{h}{d} \cdot 60 \frac{1}{h}}$$

$$MTTF_d = 100 a$$

ISO 13849:

$B_{10d} = 20.000.000$  Cicli di commutazione

Assumendo che:

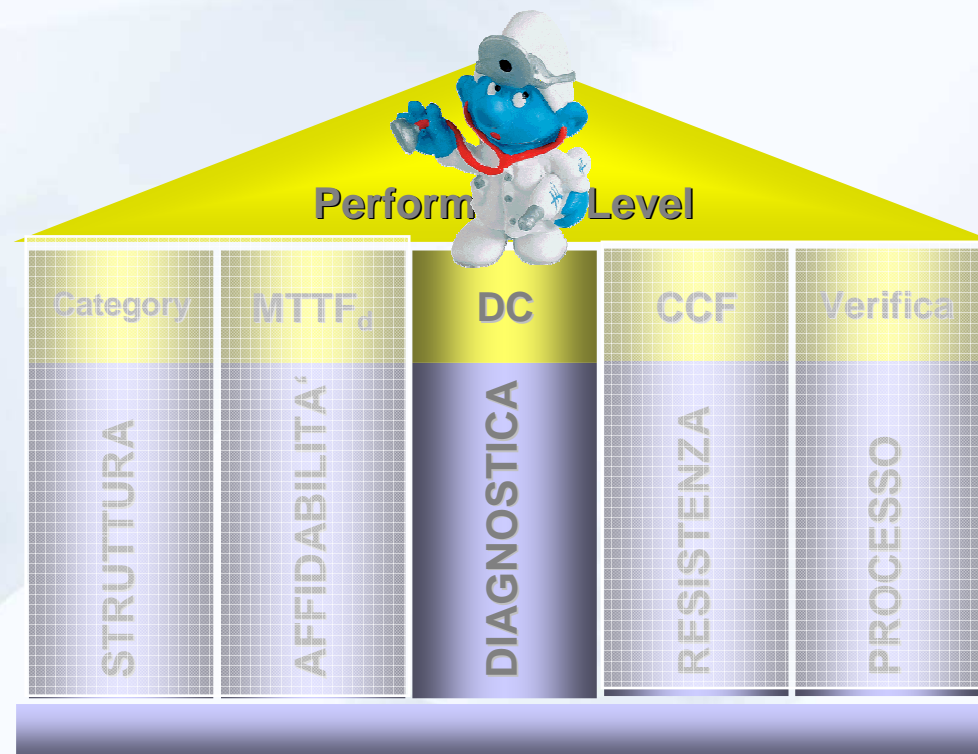
$C = 60$  /h

$d_{op} = 220$  d/a

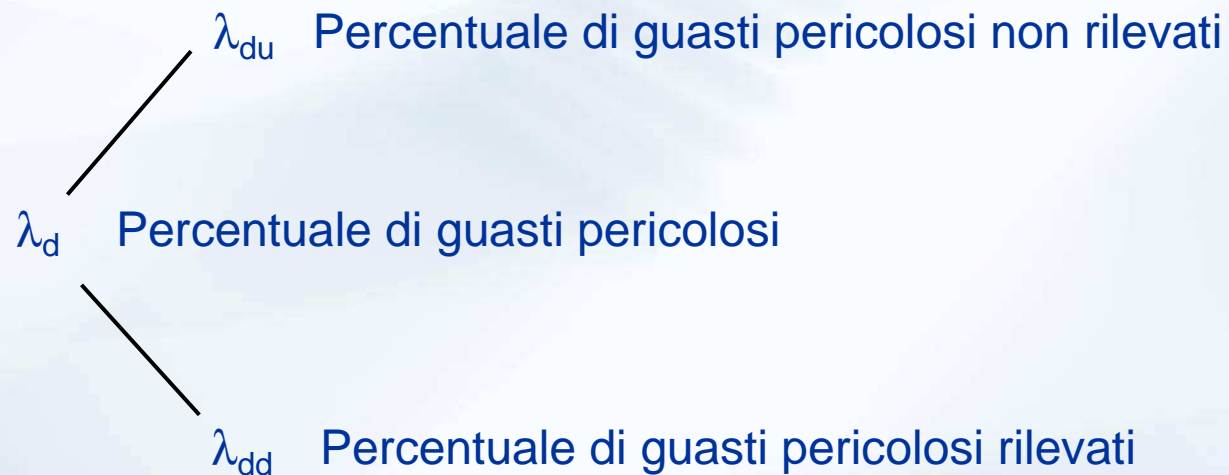
$h_{op} = 8$  h/d

$MTTF_d = „alto“$

# Aspetti di diagnostica



# Diagnostic coverage



$$DC = \frac{\sum \lambda_{dd}}{\sum \lambda_{dd} + \lambda_{du}}$$



# Stima del DC

Table E.1 — Abstract: Estimates for diagnostic coverage (DC)

	Measure	DC
<b>Input</b>	Cyclic test stimulus by dynamic change of the input signals	90%
<b>Input</b>	Plausibility check, e.g. use of normally open and normally closed mechanically linked contacts	99%
<b>Input</b>	Monitoring some characteristics of the sensor (response time, range of analogue signals, e.g. electrical resistance, capacitance)	60%
<b>Input</b>	Cros monitoring if inputs without dynamic test	0%..99% (dependig on signal change frequency)
<b>Logic</b>	Dynamic principle (all components of the logic are required to change the state ON-OFF-ON when the safety function is demanded), e.g. interlocking circuit implemented by relays	99%
<b>Logic</b>	Processing unit: Self test by software	60%..90%
<b>Output</b>	Redundant shut-off path with monitoring of one of the actuators either by logic or by test equipment	90%
<b>Output</b>	Direct monitoring (e.g. electrical position monitoring of control valves, monitoring of electromechanical devices by mechanically linked contact elements)	99%
<b>General</b>	Fault Detection by process	0%..99% (not alone for PL „e“)

# Valori legati al DC

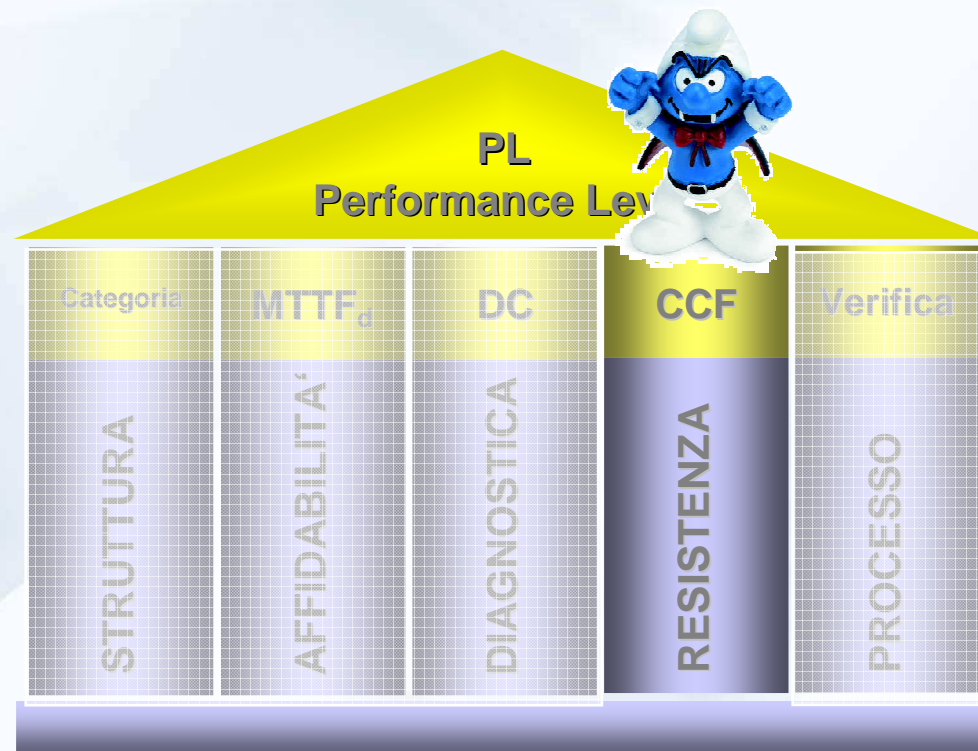
Table 6 — Diagnostic coverage (DC)

Denotation of DC	Range of DC
None	DC < 60 %
Low	60 % ≤ DC < 90 %
Medium	90 % ≤ DC < 99 %
High	99 % ≤ DC

NOTE For SRP/CS consisting of several parts an average value  $DC_{avg}$  for DC is used in this standard in Figure 5, Clause 6 and E.2.

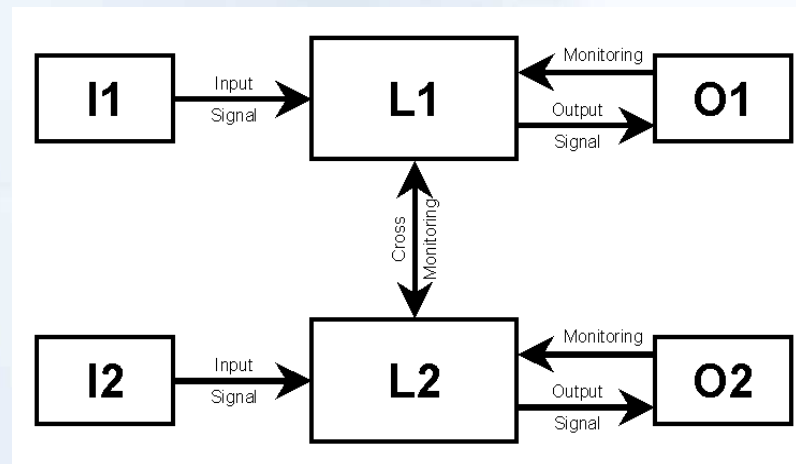
$DC = „alto“$

# Aspetti legati alla resistenza



# Cause comuni di guasto

Categoria 3, 4



*„Guasto che causa guasti contemporanei su due o più canali in un sottoinsieme multicanale“*

# Misure contro CCF

**Table I.1 - Estimation of the measures against CCF**

Item	Max. Score
Separation / segregation	15
Diversity	20
Design / application / experience	20
Assessment / analysis	5
Competence / training	5
Environmental	25
Other influences	10

*Totale 100*

*Score  $\geq$  65*

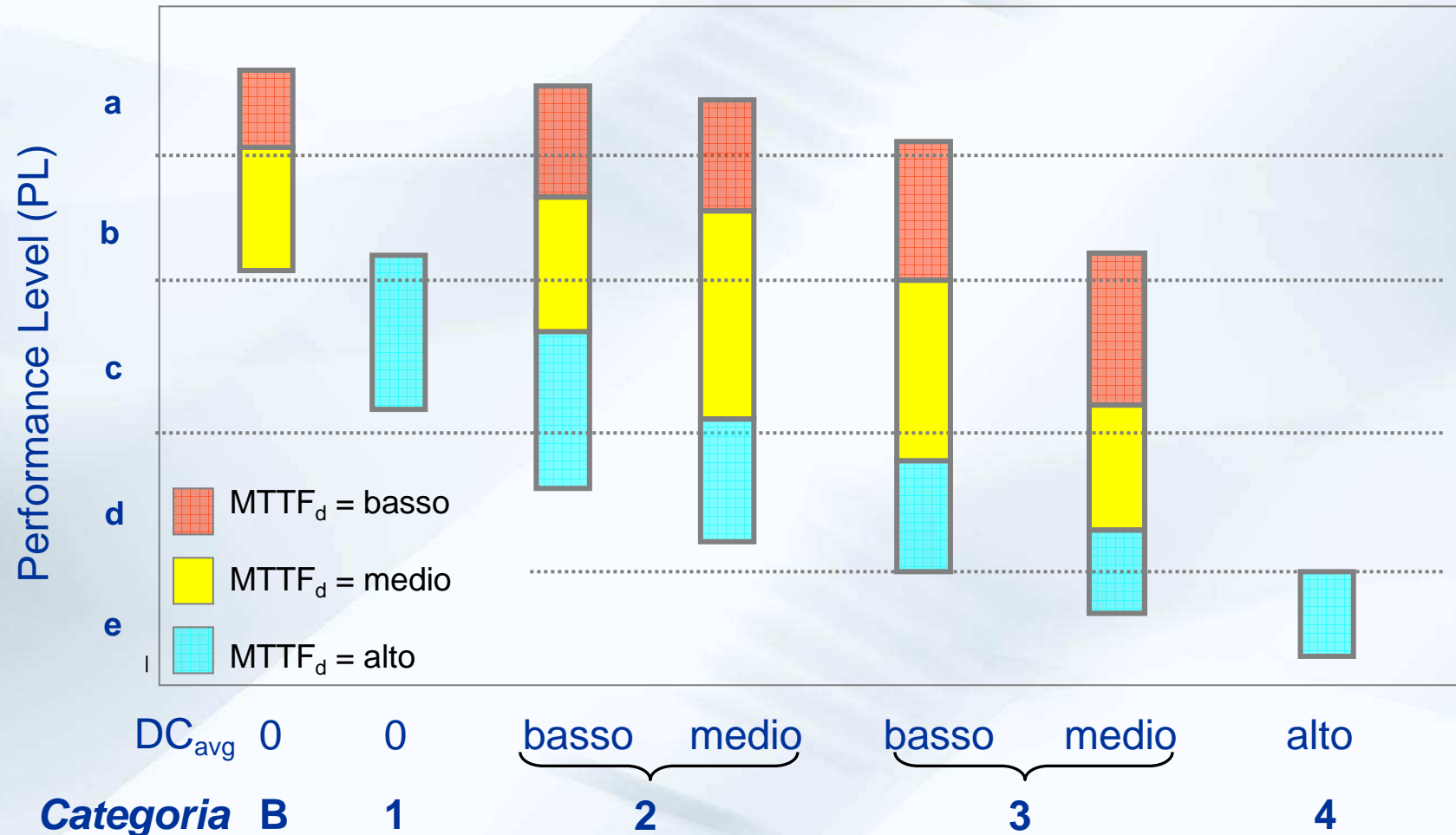


# CCF - Tabella

Table I.1 — Estimation of the measures against CCF for example B

No.	Item	Score for control circuit	Maximum possible score
<b>1</b>	<b>Separation/segregation</b>		
	Physical separation between signal paths		15
<b>2</b>	<b>Diversity</b>		
	Different technologies/design or physical principles are used		20
<b>3</b>	<b>Design/application/experience</b>		
3.1	Protection against over-voltage, over-pressure, over-current, etc.		15
3.2	Components used are well-tried		5
<b>4</b>	<b>Assessment/analysis</b>		
	Are the results of a failure mode and effect analysis taken into account to avoid common cause failures in design.		5
<b>5</b>	<b>Competence/ training</b>		
	Are designers been trained to understand the causes and consequences of common cause failures		5
<b>6</b>	<b>Environmental</b>		
6.1	Prevention of contamination and electromagnetic compatibility (EMC) against CCF in accordance with appropriate standards		25
6.2	Other Influences Are the requirements for immunity to all relevant environmental influences such as, temperature, shock, vibration, humidity (e.g. as specified in relevant standards) considered		10
	<b>Total</b>		max. 100

# PL per un SRP/CS



# Performance level

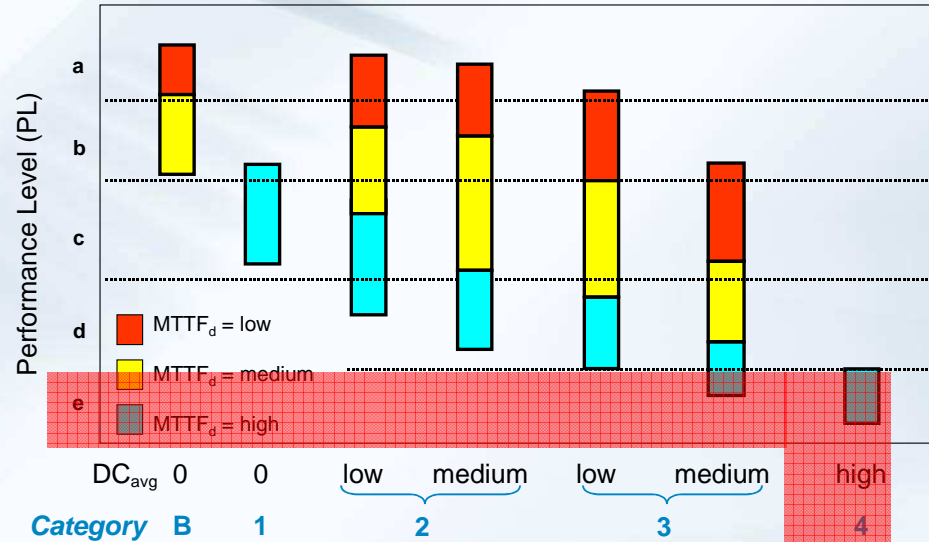


Categoria 3/4

$$MTTF_d = 100a$$

Table 5 — Mean time to dangerous failure of each channel (MTTF<sub>d</sub>)

Denotation of MTTF <sub>d</sub> of each channel	Range of MTTF <sub>d</sub> of each channel
Low	3 years ≤ MTTF <sub>d</sub> < 10 years
Medium	10 years ≤ MTTF <sub>d</sub> < 30 years
High	30 years ≤ MTTF <sub>d</sub> ≤ 100 years



DC<sub>avg</sub> 0 0 low medium low medium high  
 Category B 1 2 3 4

Category B

1

2

3

4

Table 6 — Diagnostic coverage (DC)

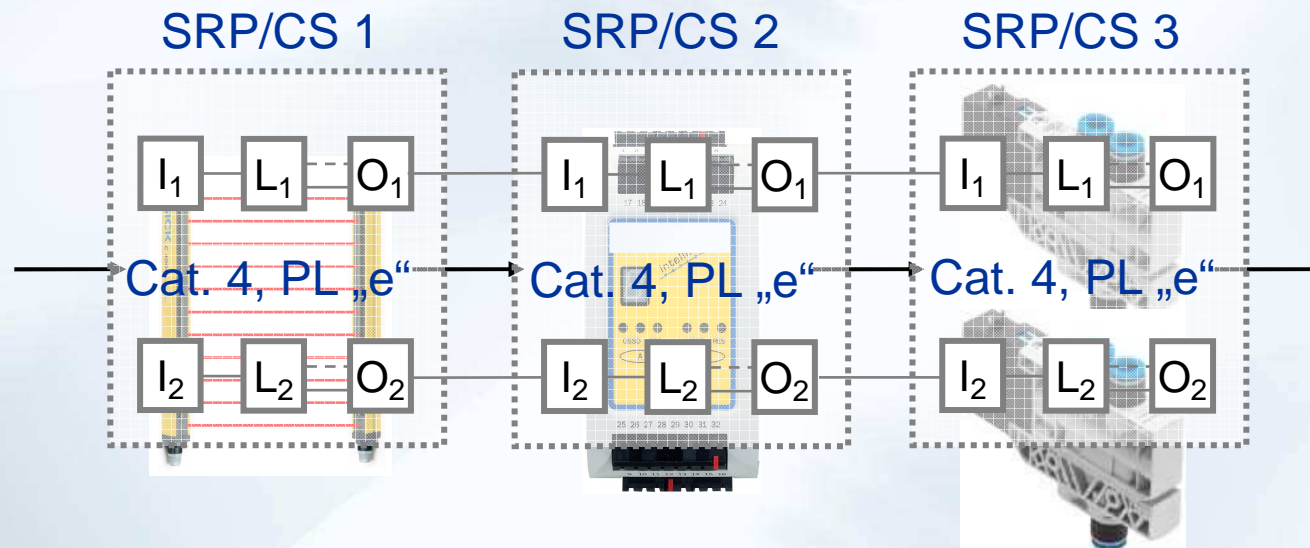
Denotation of DC	Range of DC
None	DC < 60 %
Low	60 % ≤ DC < 90 %
Medium	90 % ≤ DC < 99 %
High	99 % ≤ DC

NOTE For SRP/CS consisting of several parts an average value DC<sub>avg</sub> for DC is used in this standard in Figure 5, Clause 6 and E.2.

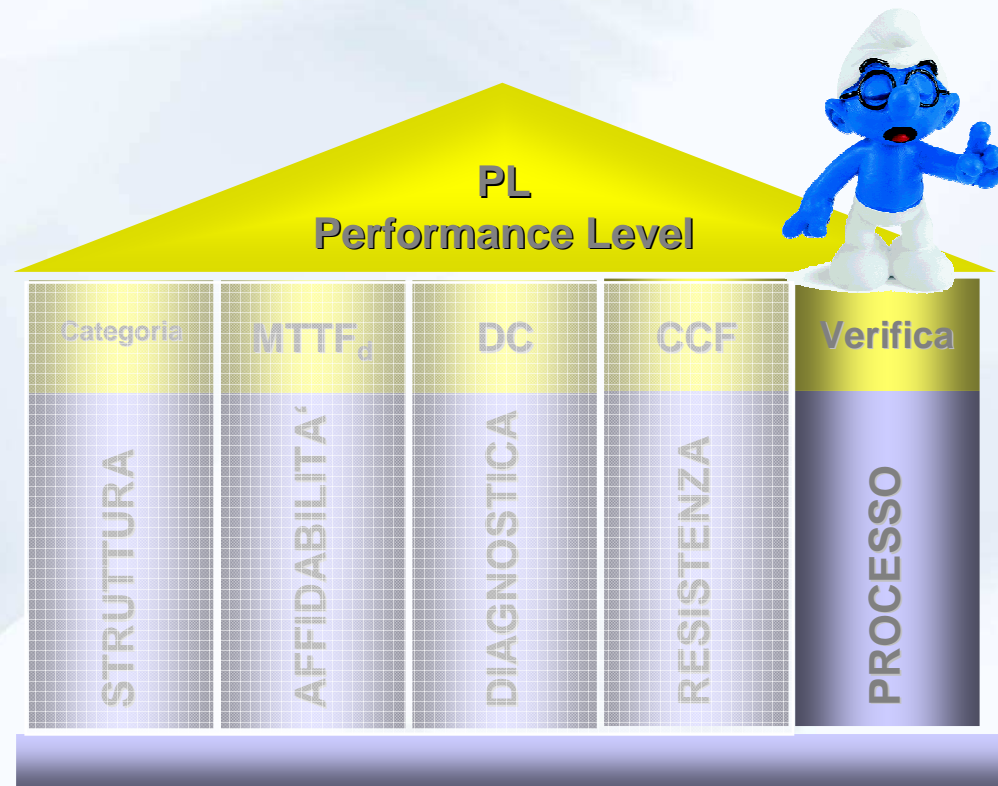
Table I.1 — Estimation of the measures against CCF for example B

No.	Item	Score for control circuit	Maximum possible score
1	Separation/segregation		
	Physical separation between signal paths	15	15
2	Diversity		
	Different technologies/design or physical principles are used	20	20
3	Design/application/experience		
3.1	Protection against over-voltage, over-pressure, over-current, etc.	none 15	15
3.2	Components used are well-tried	5 5	5
4	Assessment/analysis		
	Are the results of a failure mode and effect analysis taken into account to avoid common cause failures in design.	5 5	5
5	Competence/ training		
	Are designers been trained to understand the causes and consequences of common cause failures	none 5	5
6	Environmental		
6.1	Prevention of contamination and electromagnetic compatibility (EMC) against CCF in accordance with appropriate standards	25 25	25
6.2	Other Influences	10 10	10
	Are the requirements for immunity to all relevant environmental influences such as, temperature, shock, vibration, humidity (e.g. as specified in relevant standards) considered		
Total		80 85	max. 100

# Circuito di stop di sicurezza



# Processo di progettazione



FEDERAZIONE NAZIONALE  
IMPRESE ELETTROTECNICHE  
ED ELETTRONICHE



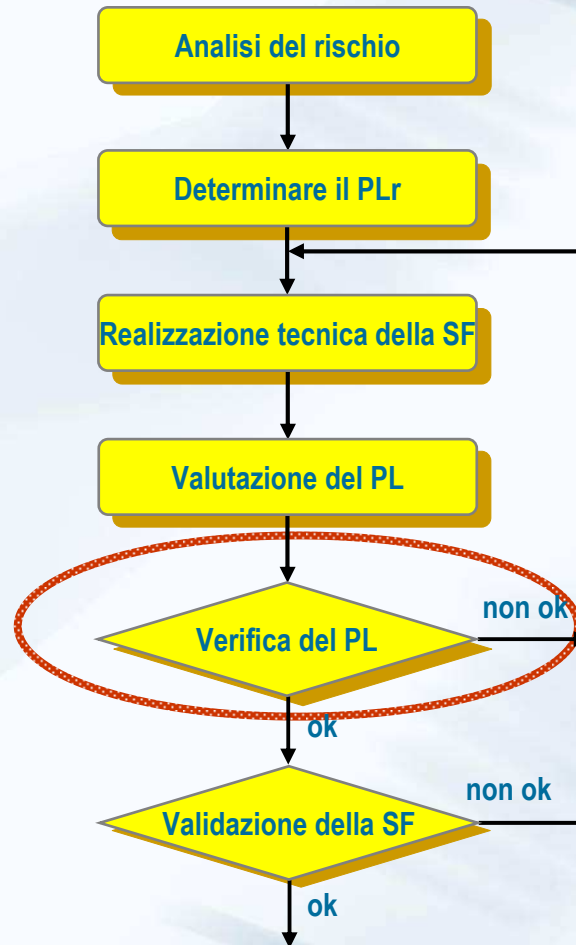
DAL 1945 IL VALORE DELL'INNOVAZIONE

**AssoAutomazione**

Associazione Italiana  
Automazione e Misura



# Riduzione del rischio



# Validazione e manutenzione

La validazione serve a dimostrare che la combinazione dei vari SRP/CS che provvedono ad ogni funzione di sicurezza, è in accordo con tutte le richieste di questo standard

Manutenzione preventiva o correttiva, possono essere necessarie per mantenere le performance specificate per le parti relative alla sicurezza. Le informazioni per l'uso degli SRP/CS, devono contenere le istruzioni per la manutenzione (compresa l'ispezione periodica)



FEDERAZIONE NAZIONALE  
IMPRESE ELETTROTECNICHE  
ED ELETTRONICHE



CONFINDUSTRIA

DAL 1945 IL VALORE DELL'INNOVAZIONE

**AssoAutomazione**

Associazione Italiana  
Automazione e Misura

# Livello di sicurezza raggiunto

Livello di sicurezza richiesto  $PL_r$

Livello raggiunto  $PL$

a  
b  
c  
d  
e

PL(low)	n (low)	=>	PL
a	> 3	=>	--
	$\leq 3$	=>	a
b	> 2	=>	a
	$\leq 2$	=>	b
c	> 2	=>	b
	$\leq 2$	=>	c
d	> 3	=>	c
	$\leq 3$	=>	d
e	> 3	=>	d
	$\leq 3$	=>	e

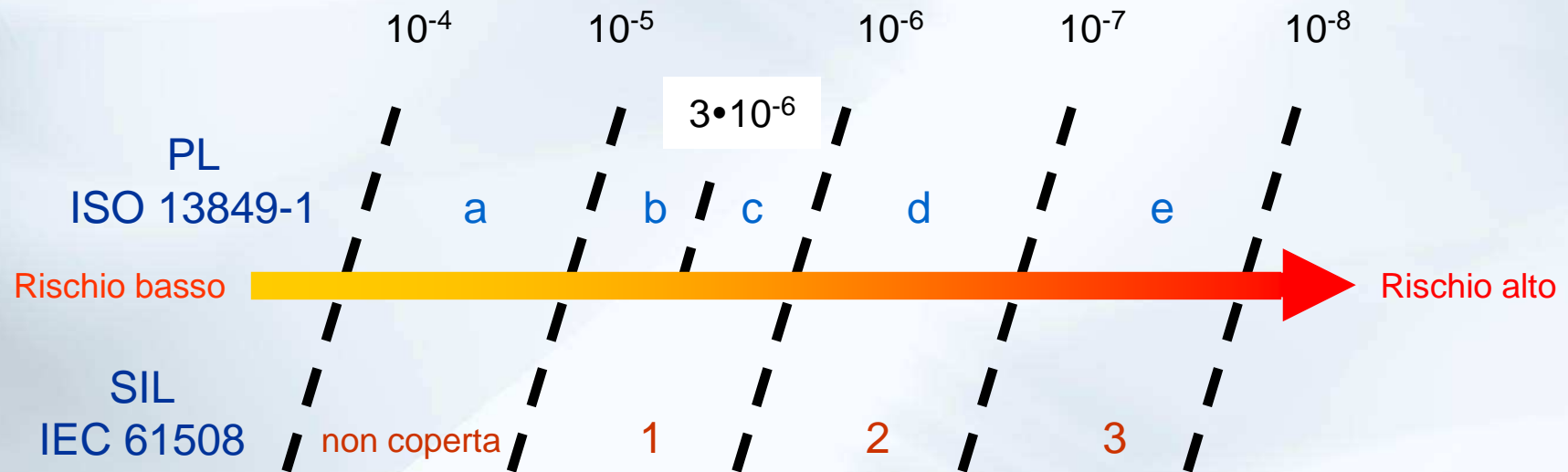
# Rappresentazione numerica

Average probability of a dangerous failure per hour [1/h] and corresponding performance level (PL)														
MTTF <sub>d</sub> for each channel y	Cat. B		Cat. 1		Cat. 2		Cat. 2		Cat. 3		Cat. 3		Cat. 4	
	DC <sub>avg</sub> = none	PL	DC <sub>avg</sub> = none	PL	DC <sub>avg</sub> = low	PL	DC <sub>avg</sub> = medium	PL	DC <sub>avg</sub> = low	PL	DC <sub>avg</sub> = medium	PL	DC <sub>avg</sub> = high	PL
3	3,80 × 10 <sup>-5</sup>	a			2,58 × 10 <sup>-6</sup>	a	1,99 × 10 <sup>-5</sup>	a	1,26 × 10 <sup>-5</sup>	a	6,09 × 10 <sup>-6</sup>	b		
3,3	3,46 × 10 <sup>-5</sup>	a			2,33 × 10 <sup>-6</sup>	a	1,79 × 10 <sup>-5</sup>	a	1,13 × 10 <sup>-5</sup>	a	5,41 × 10 <sup>-6</sup>	b		
3,6	3,17 × 10 <sup>-5</sup>	a			2,13 × 10 <sup>-6</sup>	a	1,62 × 10 <sup>-5</sup>	a	1,03 × 10 <sup>-5</sup>	a	4,86 × 10 <sup>-6</sup>	b		
3,9	2,93 × 10 <sup>-5</sup>	a			1,95 × 10 <sup>-6</sup>	a	1,48 × 10 <sup>-5</sup>	a	9,37 × 10 <sup>-6</sup>	b	4,40 × 10 <sup>-6</sup>	b		
4,3	2,65 × 10 <sup>-5</sup>	a			1,76 × 10 <sup>-6</sup>	a	1,33 × 10 <sup>-5</sup>	a	8,39 × 10 <sup>-6</sup>	b	3,89 × 10 <sup>-6</sup>	b		
4,7	2,43 × 10 <sup>-5</sup>	a			1,60 × 10 <sup>-6</sup>	a	1,20 × 10 <sup>-5</sup>	a	7,58 × 10 <sup>-6</sup>	b	3,48 × 10 <sup>-6</sup>	b		
5,1	2,24 × 10 <sup>-5</sup>	a			1,47 × 10 <sup>-6</sup>	a	1,10 × 10 <sup>-5</sup>	a	6,91 × 10 <sup>-6</sup>	b	3,15 × 10 <sup>-6</sup>	b		
5,6	2,04 × 10 <sup>-5</sup>	a			1,33 × 10 <sup>-6</sup>	a	9,87 × 10 <sup>-6</sup>	b	6,21 × 10 <sup>-6</sup>	b	2,80 × 10 <sup>-6</sup>	c		
6,2	1,84 × 10 <sup>-5</sup>	a			1,19 × 10 <sup>-6</sup>	a	8,80 × 10 <sup>-6</sup>	b	5,53 × 10 <sup>-6</sup>	b	2,47 × 10 <sup>-6</sup>	c		
6,8	1,68 × 10 <sup>-5</sup>	a			1,08 × 10 <sup>-6</sup>	a	7,93 × 10 <sup>-6</sup>	b	4,98 × 10 <sup>-6</sup>	b	2,20 × 10 <sup>-6</sup>	c		
7,5	1,52 × 10 <sup>-5</sup>	a			9,75 × 10 <sup>-6</sup>	b	7,10 × 10 <sup>-6</sup>	b	4,45 × 10 <sup>-6</sup>	b	1,95 × 10 <sup>-6</sup>	c		
8,2	1,39 × 10 <sup>-5</sup>	a			8,87 × 10 <sup>-6</sup>	b	6,43 × 10 <sup>-6</sup>	b	4,02 × 10 <sup>-6</sup>	b	1,74 × 10 <sup>-6</sup>	c		
9,1	1,25 × 10 <sup>-5</sup>	a			7,94 × 10 <sup>-6</sup>	b	5,71 × 10 <sup>-6</sup>	b	3,57 × 10 <sup>-6</sup>	b	1,53 × 10 <sup>-6</sup>	c		
10	1,14 × 10 <sup>-5</sup>	a			7,18 × 10 <sup>-6</sup>	b	5,14 × 10 <sup>-6</sup>	b	3,21 × 10 <sup>-6</sup>	b	1,36 × 10 <sup>-6</sup>	c		
11	1,04 × 10 <sup>-5</sup>	a			6,44 × 10 <sup>-6</sup>	b	4,53 × 10 <sup>-6</sup>	b	2,81 × 10 <sup>-6</sup>	c	1,18 × 10 <sup>-6</sup>	c		
12	9,51 × 10 <sup>-6</sup>	b			5,84 × 10 <sup>-6</sup>	b	4,04 × 10 <sup>-6</sup>	b	2,49 × 10 <sup>-6</sup>	c	1,04 × 10 <sup>-6</sup>	c		
13	8,78 × 10 <sup>-6</sup>	b			5,33 × 10 <sup>-6</sup>	b	3,64 × 10 <sup>-6</sup>	b	2,23 × 10 <sup>-6</sup>	c	9,21 × 10 <sup>-7</sup>	d		
15	7,61 × 10 <sup>-6</sup>	b			4,53 × 10 <sup>-6</sup>	b	3,01 × 10 <sup>-6</sup>	b	1,82 × 10 <sup>-6</sup>	c	7,44 × 10 <sup>-7</sup>	d		
16	7,13 × 10 <sup>-6</sup>	b			4,21 × 10 <sup>-6</sup>	b	2,77 × 10 <sup>-6</sup>	c	1,67 × 10 <sup>-6</sup>	c	6,76 × 10 <sup>-7</sup>	d		

Average probability of a dangerous failure per hour [1/h] and corresponding performance level (PL)														
MTTF <sub>d</sub> for each channel y	Cat. B		Cat. 1		Cat. 2		Cat. 2		Cat. 3		Cat. 3		Cat. 4	
	DC <sub>avg</sub> = none	PL	DC <sub>avg</sub> = none	PL	DC <sub>avg</sub> = low	PL	DC <sub>avg</sub> = medium	PL	DC <sub>avg</sub> = low	PL	DC <sub>avg</sub> = medium	PL	DC <sub>avg</sub> = high	PL
18	6,34 × 10 <sup>-6</sup>	b			3,68 × 10 <sup>-6</sup>	b	2,37 × 10 <sup>-6</sup>	c	1,41 × 10 <sup>-6</sup>	c	5,67 × 10 <sup>-7</sup>	d		
20	5,71 × 10 <sup>-6</sup>	b			3,26 × 10 <sup>-6</sup>	b	2,06 × 10 <sup>-6</sup>	c	1,22 × 10 <sup>-6</sup>	c	4,85 × 10 <sup>-7</sup>	d		
22	5,19 × 10 <sup>-6</sup>	b			2,93 × 10 <sup>-6</sup>	c	1,82 × 10 <sup>-6</sup>	c	1,07 × 10 <sup>-6</sup>	c	4,21 × 10 <sup>-7</sup>	d		
24	4,76 × 10 <sup>-6</sup>	b			2,65 × 10 <sup>-6</sup>	c	1,62 × 10 <sup>-6</sup>	c	9,47 × 10 <sup>-7</sup>	d	3,70 × 10 <sup>-7</sup>	d		
27	4,23 × 10 <sup>-6</sup>	b			2,32 × 10 <sup>-6</sup>	c	1,39 × 10 <sup>-6</sup>	c	8,04 × 10 <sup>-7</sup>	d	3,10 × 10 <sup>-7</sup>	d		
30			3,80 × 10 <sup>-6</sup>	b	2,06 × 10 <sup>-6</sup>	c	1,21 × 10 <sup>-6</sup>	c	6,94 × 10 <sup>-7</sup>	d	2,65 × 10 <sup>-7</sup>	d	9,54 × 10 <sup>-8</sup>	e
33			3,46 × 10 <sup>-6</sup>	b	1,85 × 10 <sup>-6</sup>	c	1,06 × 10 <sup>-6</sup>	c	5,94 × 10 <sup>-7</sup>	d	2,30 × 10 <sup>-7</sup>	d	8,57 × 10 <sup>-8</sup>	e
36			3,17 × 10 <sup>-6</sup>	b	1,67 × 10 <sup>-6</sup>	c	9,39 × 10 <sup>-7</sup>	d	5,16 × 10 <sup>-7</sup>	d	2,01 × 10 <sup>-7</sup>	d	7,77 × 10 <sup>-8</sup>	e
39			2,93 × 10 <sup>-6</sup>	c	1,53 × 10 <sup>-6</sup>	c	8,40 × 10 <sup>-7</sup>	d	4,53 × 10 <sup>-7</sup>	d	1,78 × 10 <sup>-7</sup>	d	7,11 × 10 <sup>-8</sup>	e
43			2,65 × 10 <sup>-6</sup>	c	1,37 × 10 <sup>-6</sup>	c	7,34 × 10 <sup>-7</sup>	d	3,87 × 10 <sup>-7</sup>	d	1,54 × 10 <sup>-7</sup>	d	6,37 × 10 <sup>-8</sup>	e
47			2,43 × 10 <sup>-6</sup>	c	1,24 × 10 <sup>-6</sup>	c	6,49 × 10 <sup>-7</sup>	d	3,35 × 10 <sup>-7</sup>	d	1,34 × 10 <sup>-7</sup>	d	5,76 × 10 <sup>-8</sup>	e
51			2,24 × 10 <sup>-6</sup>	c	1,13 × 10 <sup>-6</sup>	c	5,80 × 10 <sup>-7</sup>	d	2,93 × 10 <sup>-7</sup>	d	1,19 × 10 <sup>-7</sup>	d	5,26 × 10 <sup>-8</sup>	e
56			2,04 × 10 <sup>-6</sup>	c	1,02 × 10 <sup>-6</sup>	c	5,10 × 10 <sup>-7</sup>	d	2,52 × 10 <sup>-7</sup>	d	1,03 × 10 <sup>-7</sup>	d	4,73 × 10 <sup>-8</sup>	e
62			1,84 × 10 <sup>-6</sup>	c	9,06 × 10 <sup>-7</sup>	d	4,43 × 10 <sup>-7</sup>	d	2,13 × 10 <sup>-7</sup>	d	8,84 × 10 <sup>-8</sup>	e	4,22 × 10 <sup>-8</sup>	e
68			1,68 × 10 <sup>-6</sup>	c	8,17 × 10 <sup>-7</sup>	d	3,90 × 10 <sup>-7</sup>	d	1,84 × 10 <sup>-7</sup>	d	7,68 × 10 <sup>-8</sup>	e	3,80 × 10 <sup>-8</sup>	e
75			1,52 × 10 <sup>-6</sup>	c	7,31 × 10 <sup>-7</sup>	d	3,40 × 10 <sup>-7</sup>	d	1,57 × 10 <sup>-7</sup>	d	6,62 × 10 <sup>-8</sup>	e	3,41 × 10 <sup>-8</sup>	e
82			1,39 × 10 <sup>-6</sup>	c	6,61 × 10 <sup>-7</sup>	d	3,01 × 10 <sup>-7</sup>	d	1,35 × 10 <sup>-7</sup>	d	5,79 × 10 <sup>-8</sup>	e	3,08 × 10 <sup>-8</sup>	e
91			1,25 × 10 <sup>-6</sup>	c	5,88 × 10 <sup>-7</sup>	d	2,61 × 10 <sup>-7</sup>	d	1,14 × 10 <sup>-7</sup>	d	4,94 × 10 <sup>-8</sup>	e	2,74 × 10 <sup>-8</sup>	e
100			1,14 × 10 <sup>-6</sup>	c	5,28 × 10 <sup>-7</sup>	d	2,29 × 10 <sup>-7</sup>	d	1,01 × 10 <sup>-7</sup>	d	4,29 × 10 <sup>-8</sup>	e	2,47 × 10 <sup>-8</sup>	e

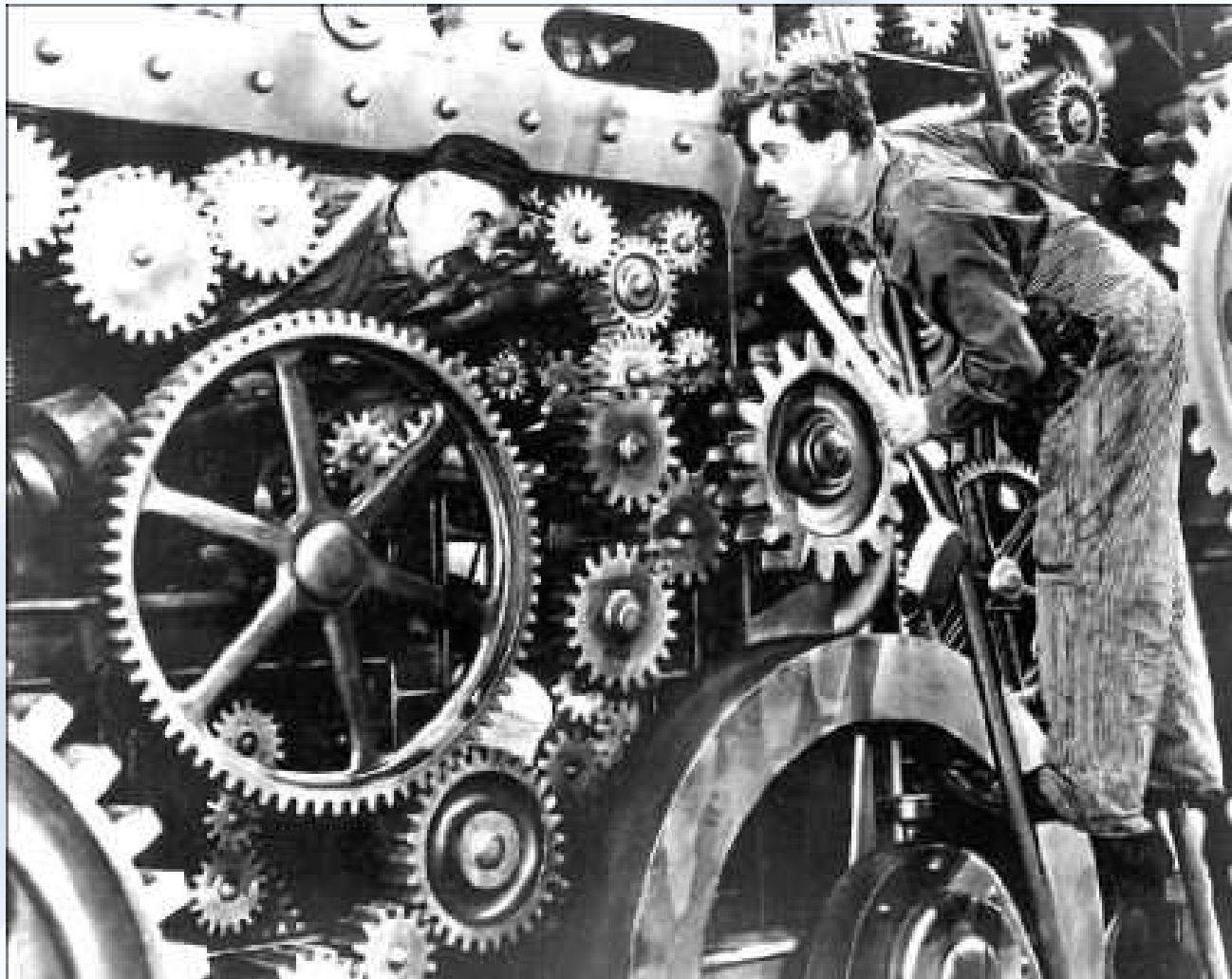
# Relazione PFH<sub>D</sub> - PL/SIL

Probabilità di guasto pericoloso per ora





# Identificare la funzione di sicurezza



FEDERAZIONE NAZIONALE  
IMPRESE ELETTROTECNICHE  
ED ELETTRONICHE



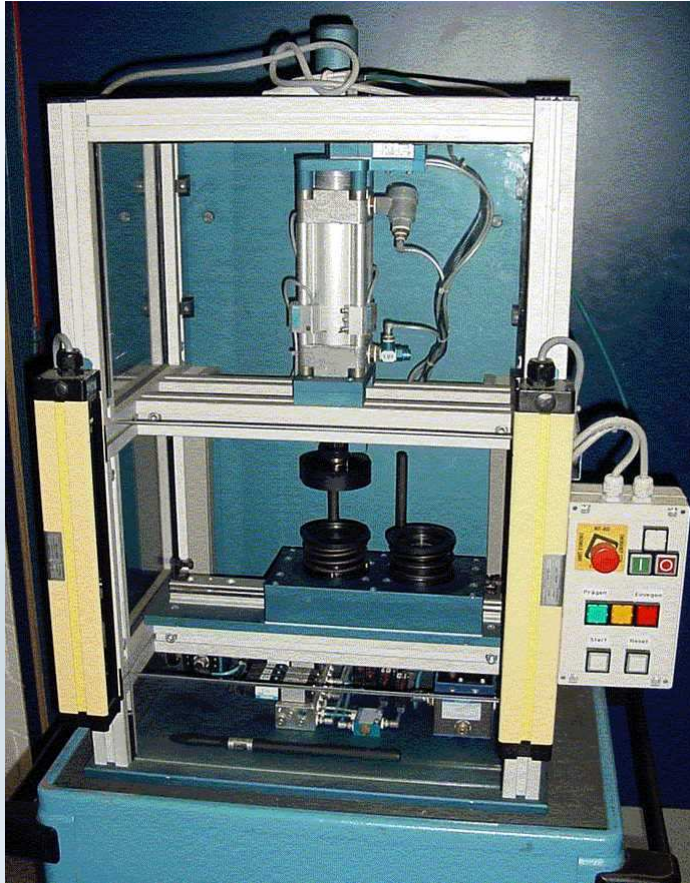
CONFINDUSTRIA

DAL 1945 IL VALORE DELL'INNOVAZIONE

**AssoAutomazione**

Associazione Italiana  
Automazione e Misura

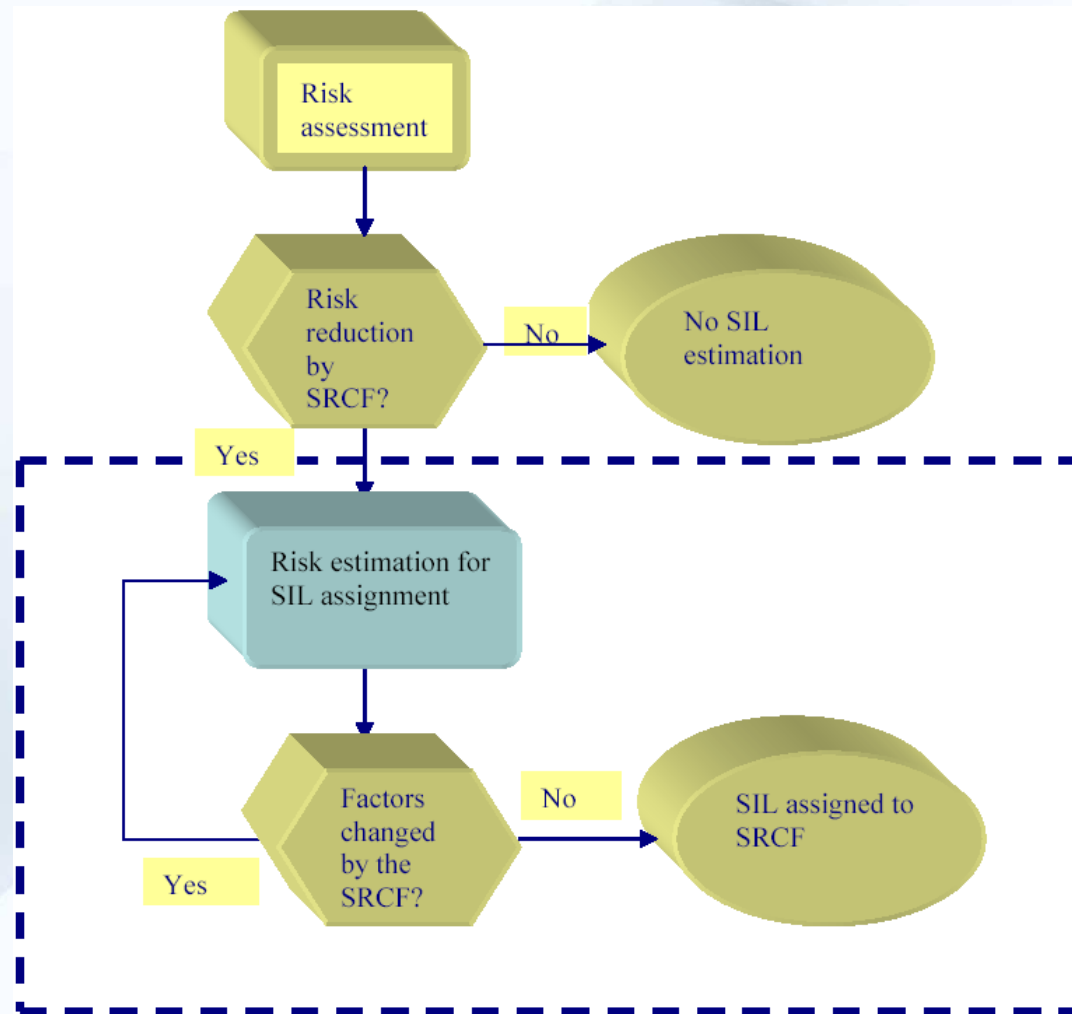
# Funzione di controllo relativa alla sicurezza



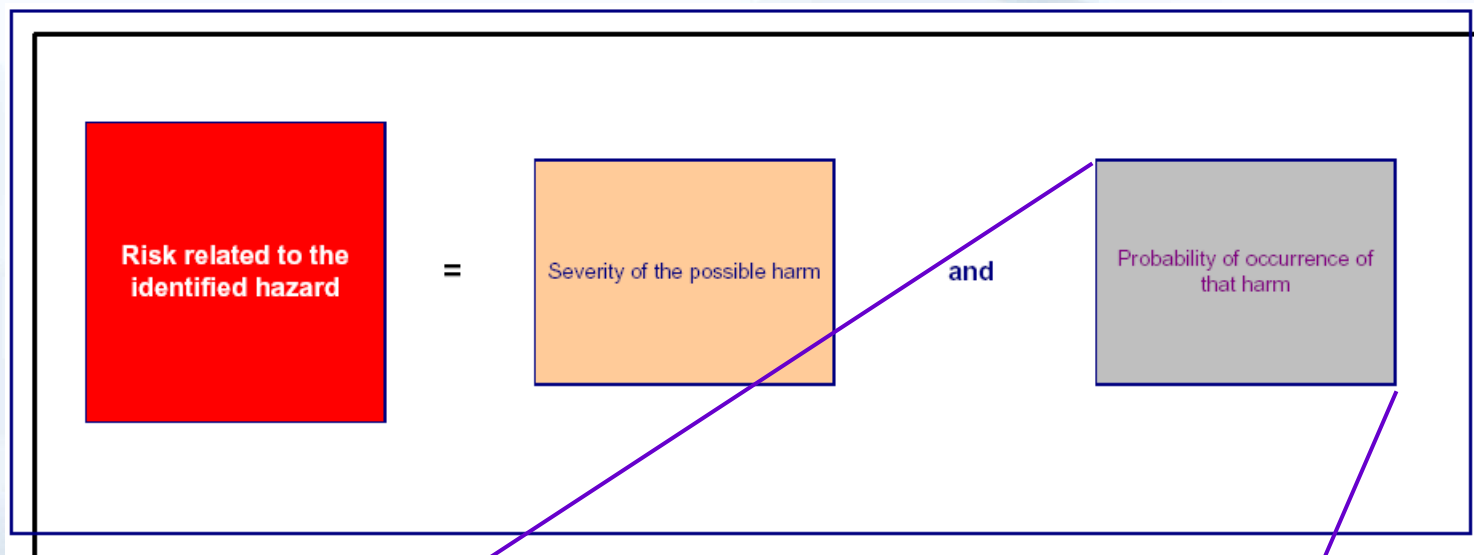
SRCF:

*Il movimento del cilindro deve essere fermato quando il campo protetto della barriera viene infranto*

# Processo di riduzione del rischio



# Stima del rischio



- Frequenza e durata dell'esposizione (Fr)
- Probabilità dell'accadere di un evento pericoloso (Pr)
- Probabilità di evitare o limitare il danno (Av)

# Assegnazione del SIL

## SIL assignment and safety measures

Product: \_\_\_\_\_  
 Issued: \_\_\_\_\_  
 verified: \_\_\_\_\_  
 Date: \_\_\_\_\_

Document No.: \_\_\_\_\_  
 Part of: \_\_\_\_\_

- Pre risk assessment
- Intermediate risk assessment
- Follow up risk assessment

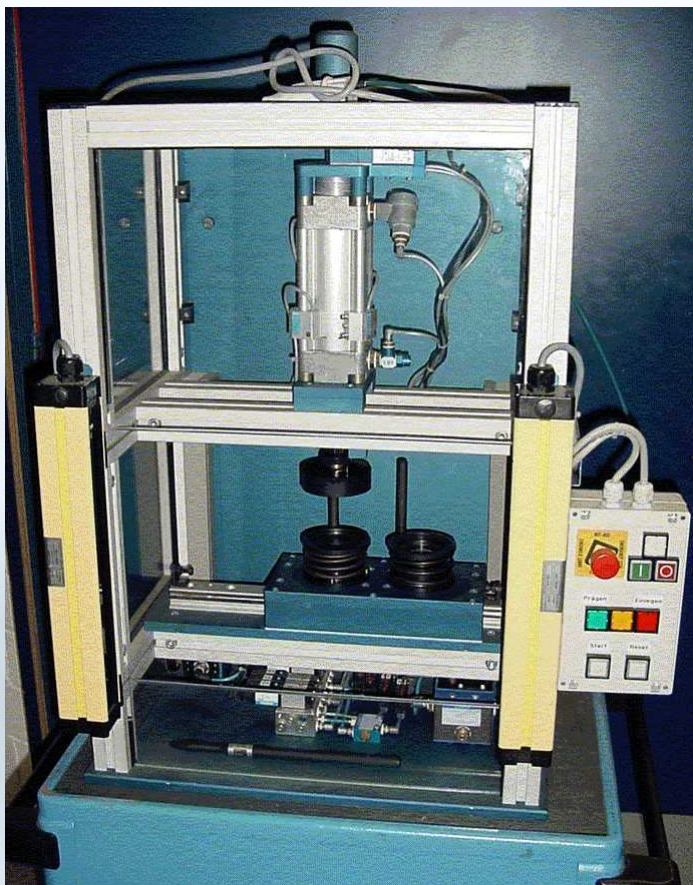
Black area - Safety measures required  
 Grey area - Safety measures recommended

Consequences	Severity Se	Class Cl					Frequency, Fr (duration > 10min)	Probability of hzrd. Event, Pr		Avoidance, Av	
		3-4	5-7	8-10	11-13	14-15					
Death, losing an eye or an arm	4	SIL 2	SIL 2	SIL 2	SIL 3	SIL 3	≤ 1 hour	5	Common	5	
Permanent, losing fingers	3		OM	SIL 1	SIL 2	SIL 3	> 1hr - ≤ 1day	5	Likely	4	
Reversible, medical attention	2			OM	SIL 1	SIL 2	> 1day - ≤ 2wks	4	Possible	3	Impossible 5
Reversible, first aid	1				OM	SIL 1	> 2wks - ≤ 1yr	3	Rarely	2	Possible 3
							> 1 year	2	Negligible	1	Likely 1

Ser. No.	Hzd. No.	Hazard	Se	Fr	Pr	Av	Cl	Safety measure	RR
		Avvicinamento del battente	3	5	3	3	11	Stop del cilindro	



# Funzione di controllo relativa alla sicurezza



**SRCF:**

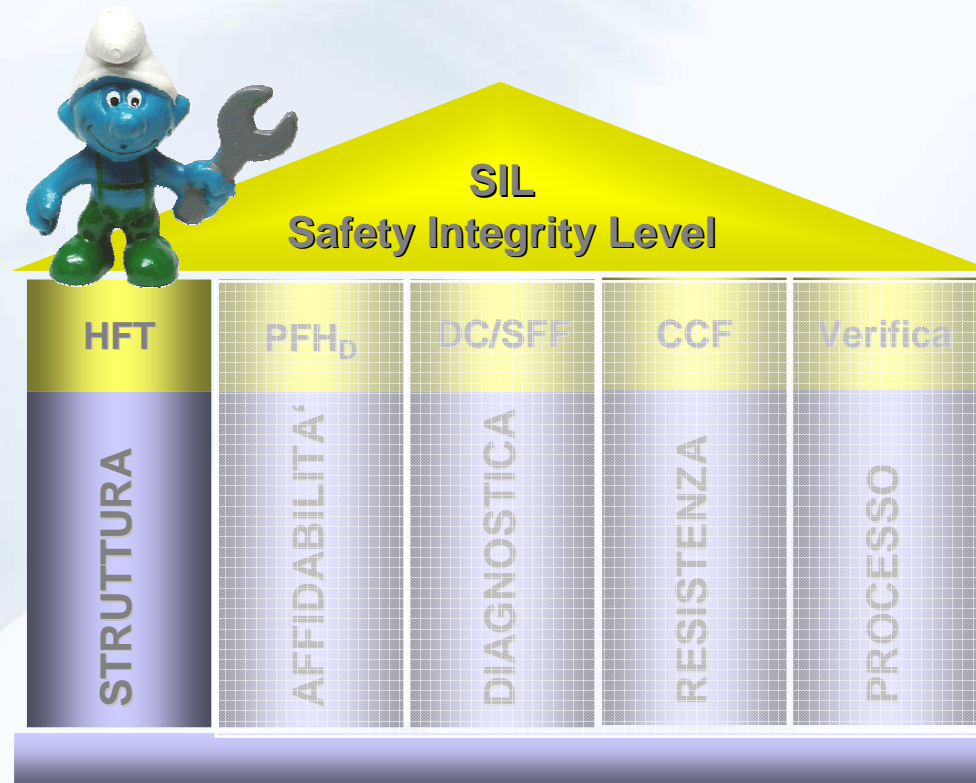
*Il movimento del cilindro deve essere fermato quando il campo protetto della barriera viene infranto*

*Livello di sicurezza richiesto: SIL 2*

# Determinare il SIL per lo SRECS



# Aspetti strutturali

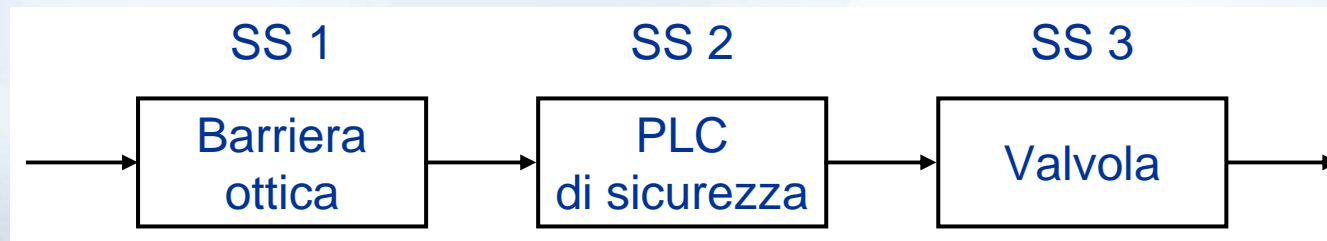


# Divisione in blocchi funzione



*„Il più piccolo elemento di un SRCF, dove un guasto può causare la perdita della funzione di sicurezza“*

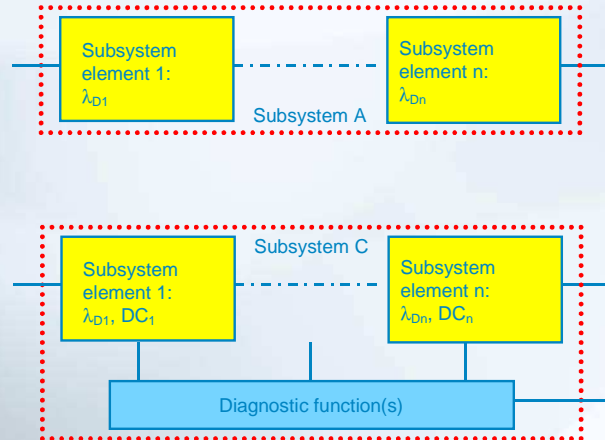
# Definire i sottosistemi



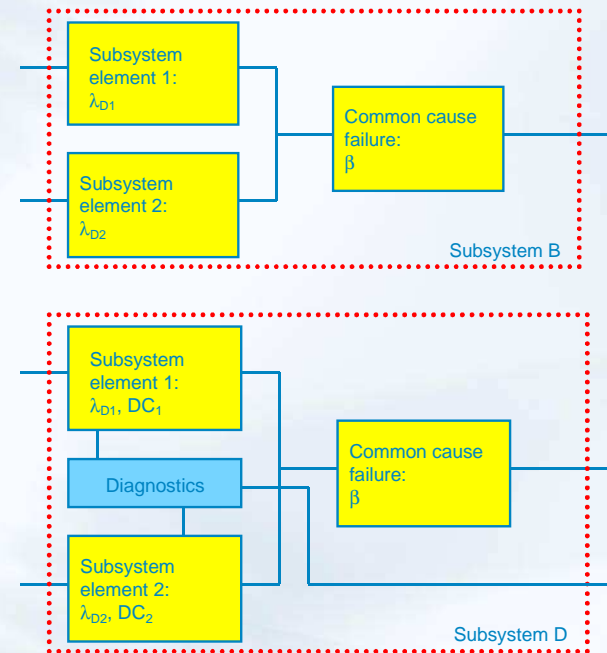


# Elementi dei sottosistemi

HFT = 0



HFT = 1



*„Parte di un sottosistema, comprensivo di un singolo componente o ogni gruppo di componenti“*

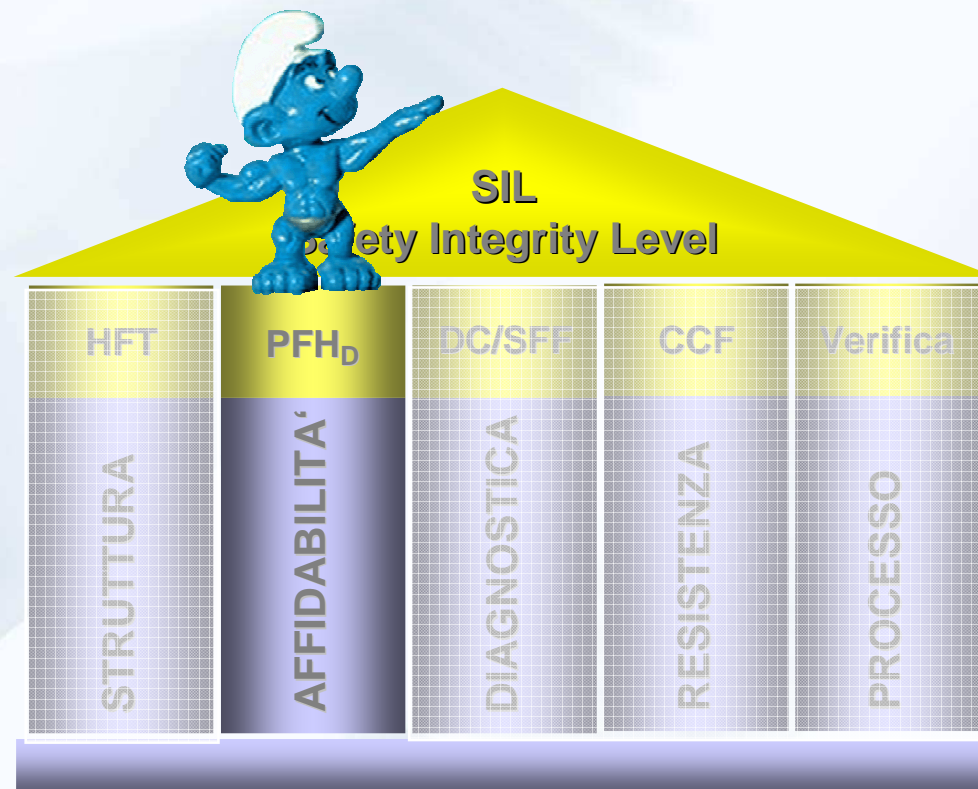
# Architectural requirements



**SIL richiesto  $\geq 2$    SIL richiesto  $\geq 2$    SIL richiesto  $\geq 2$**

*„Ogni sottosistema deve essere conforme al SIL dichiarato per il completo SRCF“*

# Aspetti di affidabilità



# Probabilità di guasti „casuali“ pericolosi

SIL	Probabilità di guasti pericolosi per ora [1/h]
1	$10^{-6} \leq PFH_D < 10^{-5}$
2	$10^{-7} \leq PFH_D < 10^{-6}$
3	$10^{-8} \leq PFH_D < 10^{-7}$

$$PFH_D = PFH_{D1} + \dots + PFH_{D2} + PFH_{TE}$$

# HW safety integrity requirements



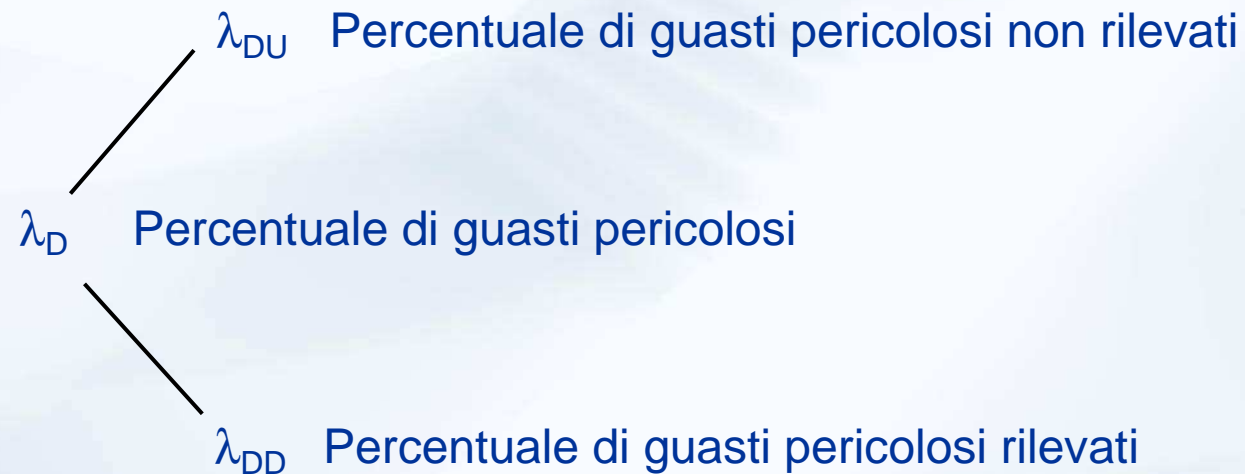
$$PFH_{D1} + PFH_{D2} + PFH_{D3} < 10^{-6}$$

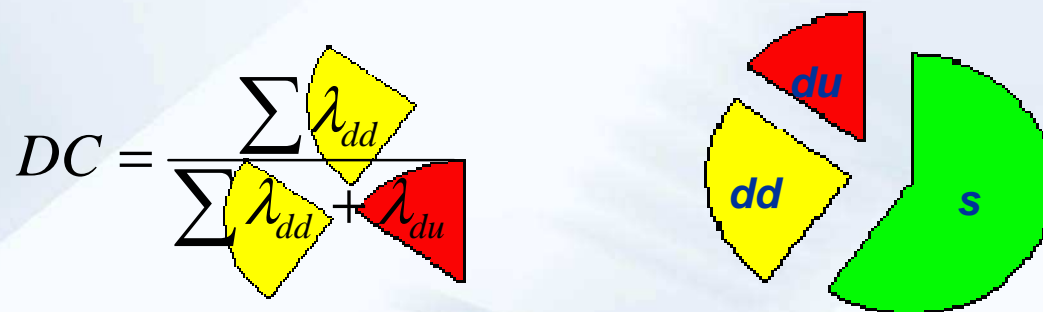


# Aspetti di diagnostica

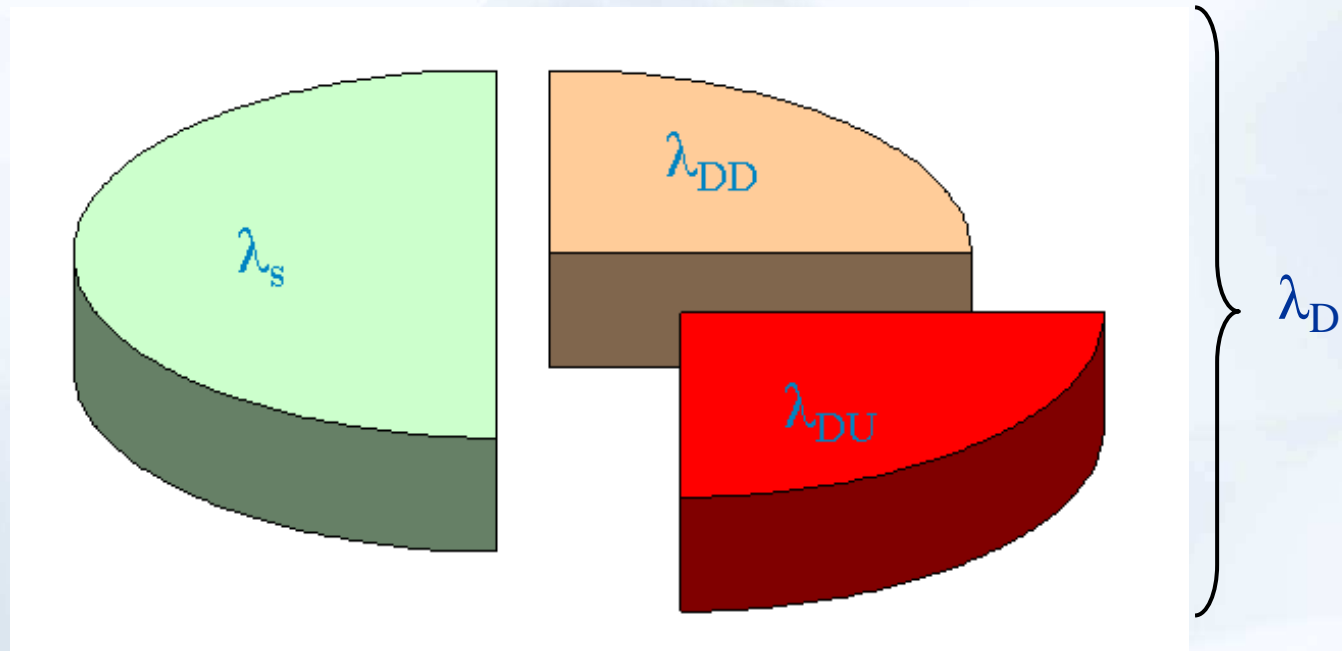


# Diagnostic coverage



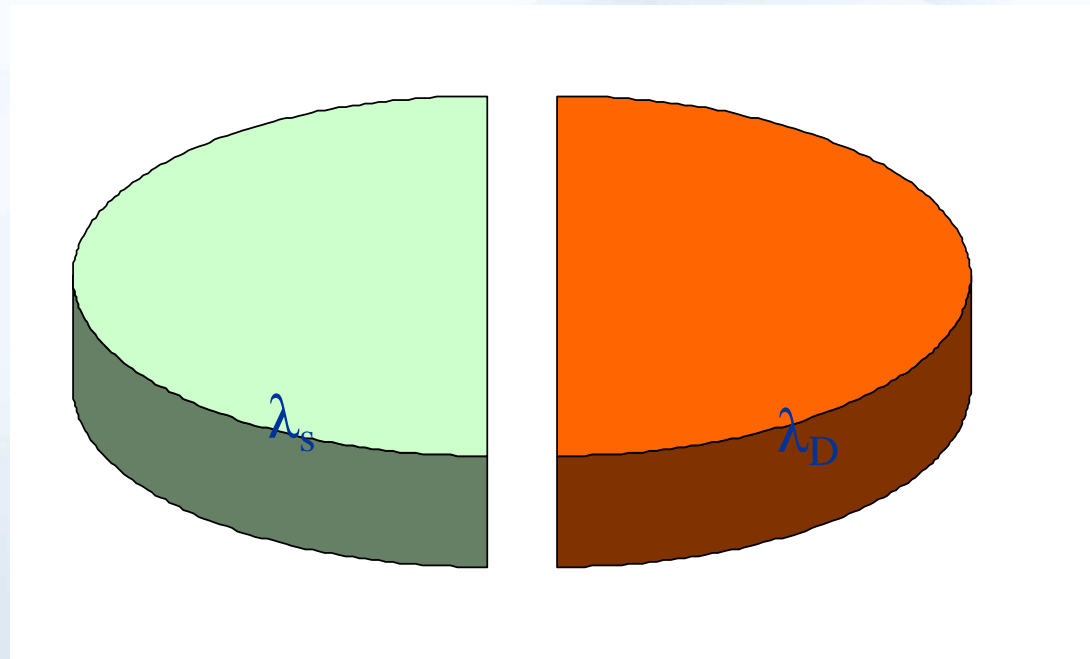
$$DC = \frac{\sum \lambda_{dd}}{\sum \lambda_{dd} + \lambda_{du}}$$


# Safe failure fraction



$$SFF = (\Sigma\lambda_S + \Sigma\lambda_{DD}) / (\Sigma\lambda_S + \Sigma\lambda_D)$$

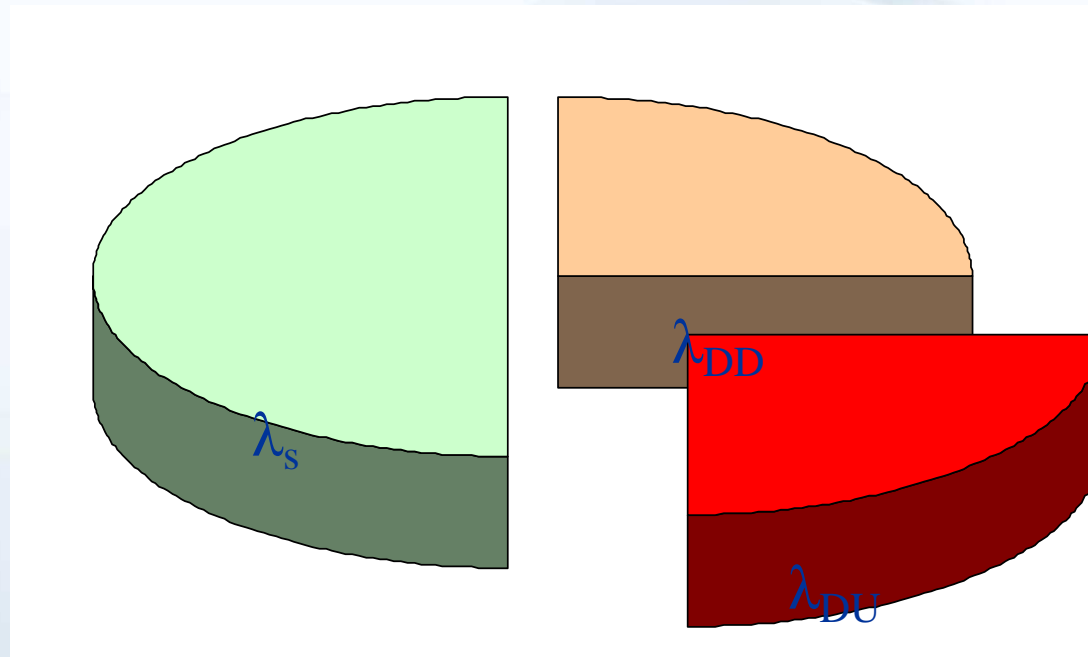
# Differenza di calcolo DC e SFF 1/3



DC = 0%

SFF = 50%

# Differenza di calcolo DC e SFF 2/3

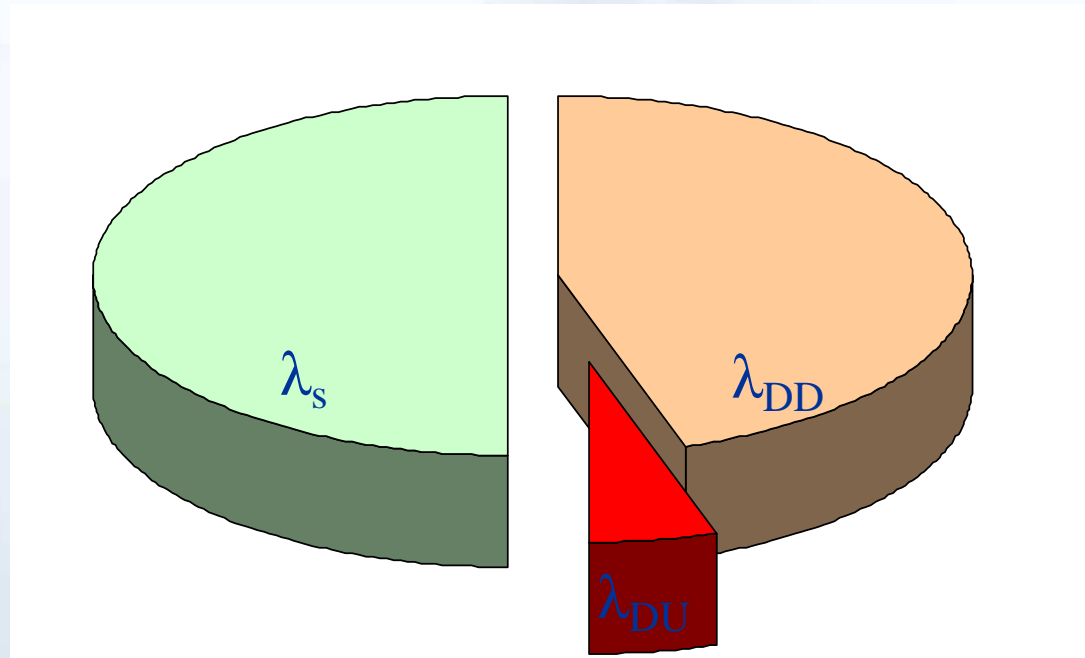


DC = 50%

SFF = 75%



# Differenza di calcolo DC e SFF 3/3



DC = 90%

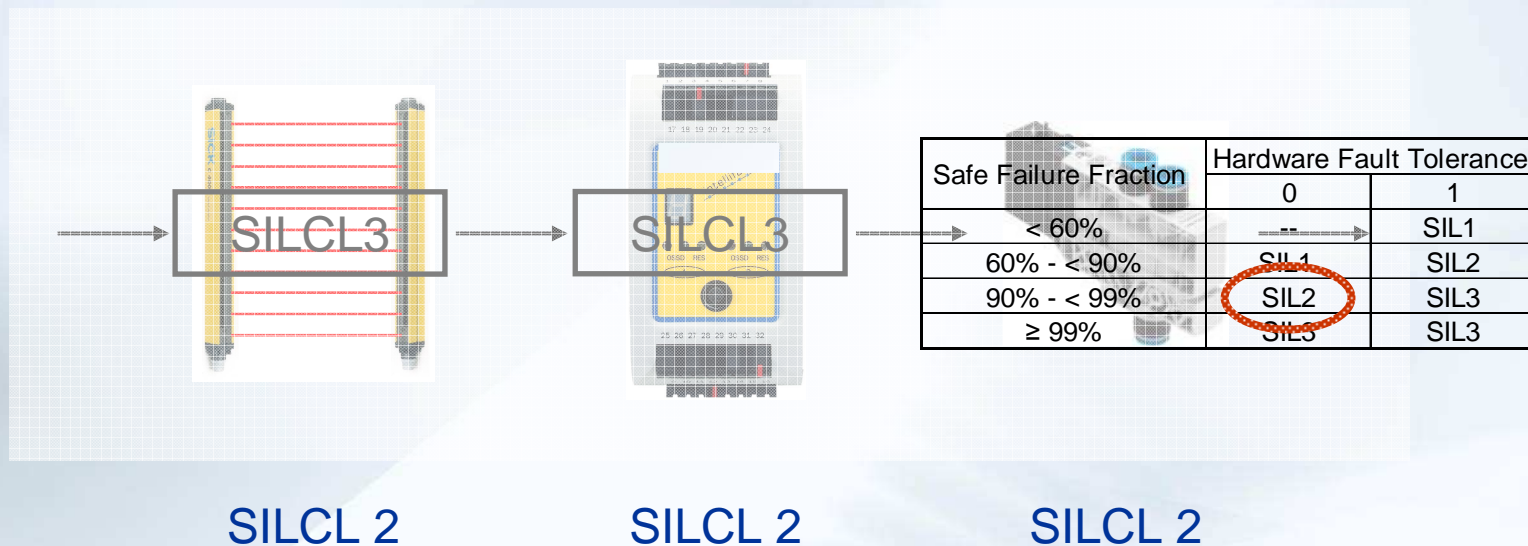
SFF = 95%

# Restrizioni dell'architettura

Safe Failure Fraction	Hardware Fault Tolerance	
	0	1
< 60%	--	SIL1
60% - < 90%	SIL1	SIL2
90% - < 99%	SIL2	SIL3
≥ 99%	SIL3	SIL3

*„Il SIL Raggiunto dallo SRECS in accordo con le restrizioni dell'architettura, è minore od uguale al SILCL di ogni sottosistema coinvolto nelle performance del SRCF“*

# Hardware safety integrity - Target



# I modi di guasto della valvola

Table D.1 – Examples of the failure mode ratios for electrical/electronic components

Component	Failure mode	Typical failure mode ratios %
Solenoid valve	Does not energize	5
	Does not de-energize	15
	Change of switching times	5
	Leakage	65
	Other failure modes (see Note 4)	10

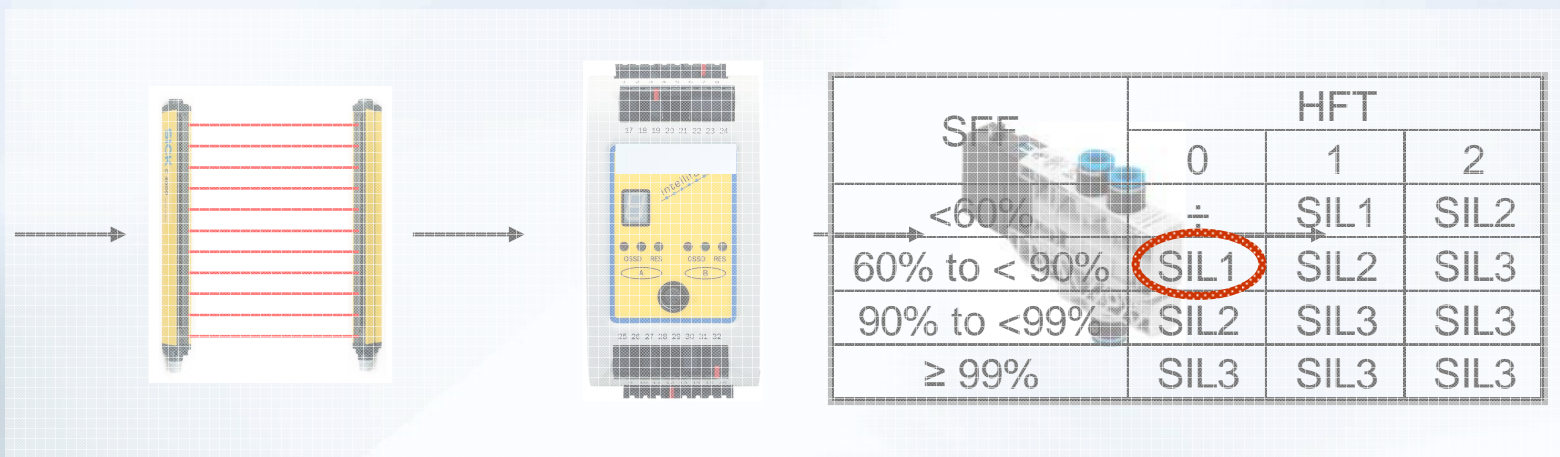
NOTE 4 Other failure modes that apply to a solenoid valve include:

- non-switching (sticking in the end or zero position) or incomplete switching (sticking in a random intermediate position);
- spontaneous change of the initial position;
- change in the leakage flow rate over a long period of time;
- bursting of the valve housing or breakage of the moving component(s) as well as breakage/fracture of the mounting or housing screws;
- pneumatic/hydraulic faults which cause uncontrolled behaviour for servo and proportional valves.

Failure mode/mechanism distributions FMD-91, RAC 1991

SFF = 70%

# Hardware safety integrity - Risultato



SILCL 2



SILCL 2



SILCL 2



# Sottosistemi a bassa complessità



?

Safe Failure Fraction	Hardware Fault Tolerance	
	0	1
< 60%	--	SIL1
60% - < 90%	SIL1	SIL2
90% - < 99%	SIL2	SIL3
≥ 99%	SIL3	SIL3

*„Collegare in parallelo due sottosistemi a bassa complessità, entrambi rispondenti alle richieste dell'architettura per SIL 1 “*

# Aspetti di resistenza



FEDERAZIONE NAZIONALE  
IMPRESE ELETTROTECNICHE  
ED ELETTRONICHE

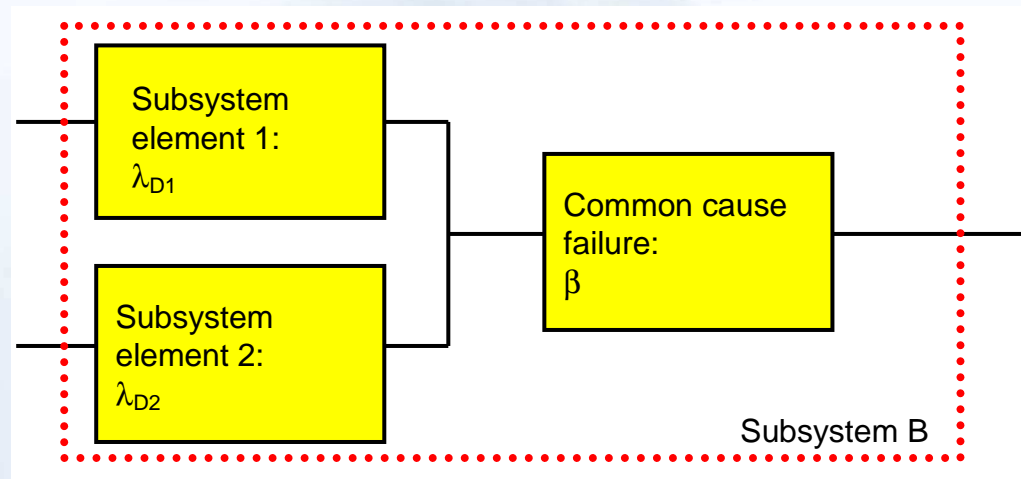


DAL 1945 IL VALORE DELL'INNOVAZIONE

**AssoAutomazione**

Associazione Italiana  
Automazione e Misura

# Causa di guasto comune



*„Guasto che causa guasti contemporanei su due o più canali in un sottoinsieme multicanale“*

# Misure contro CCF

**Table F.1 - Criteria for estimation of CCF**

Item	Max. Score
Separation / segregation	25
Diversity / redundancy	38
Complexity / design / application	2
Assessment / analysis	18
Competence / training	4
Environmental control	18

**Table F.2 - Estimation of CCF factor ( $\beta$ )**

Overall score	CCF factor ( $\beta$ )
< 35	10%
35 ÷ 65	5%
65 ÷ 85	2%
85 ÷ 100	1%

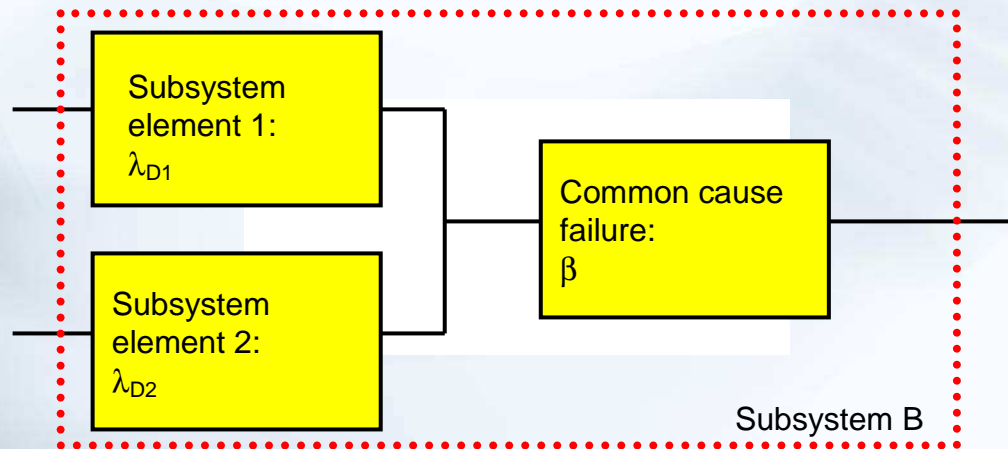
*„L'allegato F fornisce un approccio semplice per il calcolo del CCF“*

# Tabella CCF

Table F.1 – Criteria for estimation of CCF

Item	Reference	Score
<b>Separation/segregation</b>		
Are SRECS signal cables for the individual channels routed separately from other channels at all positions or sufficiently shielded?		5
Where information encoding/decoding is used, is it sufficient for the detection of signal transmission errors?		10
Are SRECS signal and electrical energy power cables separate at all positions or sufficiently shielded?		5
If subsystem elements can contribute to a CCF, are they provided as physically separate devices in their local enclosures?		5
<b>Diversity/redundancy</b>		
Does the subsystem employ different electrical technologies for example, one electronic or programmable electronic and the other an electromechanical relay?		8
Does the subsystem employ elements that use different physical principles (e.g. sensing elements at a guard door that use mechanical and magnetic sensing techniques)?		10
Does the subsystem employ elements with temporal differences in functional operation and/or failure modes?		10
Do the subsystem elements have a diagnostic test interval of $\leq 1$ min?		10
<b>Complexity/design/application</b>		
Is cross-connection between channels of the subsystem prevented with the exception of that used for diagnostic testing purposes?		2
<b>Assessment/analysis</b>		
Have the results of the failure modes and effects analysis been examined to establish sources of common cause failure and have predetermined sources of common cause failure been eliminated by design?		9
Are field failures analysed with feedback into the design?		9
<b>Competence/training</b>		
Do subsystem designers understand the causes and consequences of common cause failures?		4
<b>Environmental control</b>		
Are the subsystem elements likely to operate always within the range of temperature, humidity, corrosion, dust, vibration, etc. over which it has been tested, without the use of external environmental control?		9
Is the subsystem immune to adverse influences from electromagnetic interference up to and including the limits specified in Annex E?		9
NOTE An alternative item (e.g. references 1a and 1b) is given in Table F.1 where it is intended that a claim can be made for a contribution towards avoidance of CCF from only the most relevant item.		

# Calcolo del PFH<sub>D</sub>



$$\begin{aligned}
 PFH_d &\approx \beta \cdot (\lambda_{D1} + \lambda_{D2}) / 2 \cdot 1/h \\
 &= \beta \cdot \lambda_D / \lambda \cdot \lambda_{valve} \cdot 1/h \\
 &= 5\% \cdot 30\% \cdot 0,1 \cdot C / B10 \cdot 1h \\
 &= 5\% \cdot 30\% \cdot 0,1 \cdot 2C / B10_D \cdot 1h \\
 &= \frac{0,05 \cdot 0,3 \cdot 0,1 \cdot 2 \cdot 60/h}{20.000.000} \cdot 1h
 \end{aligned}$$

$$PFH_D = 9,0 \cdot 10^{-9}$$

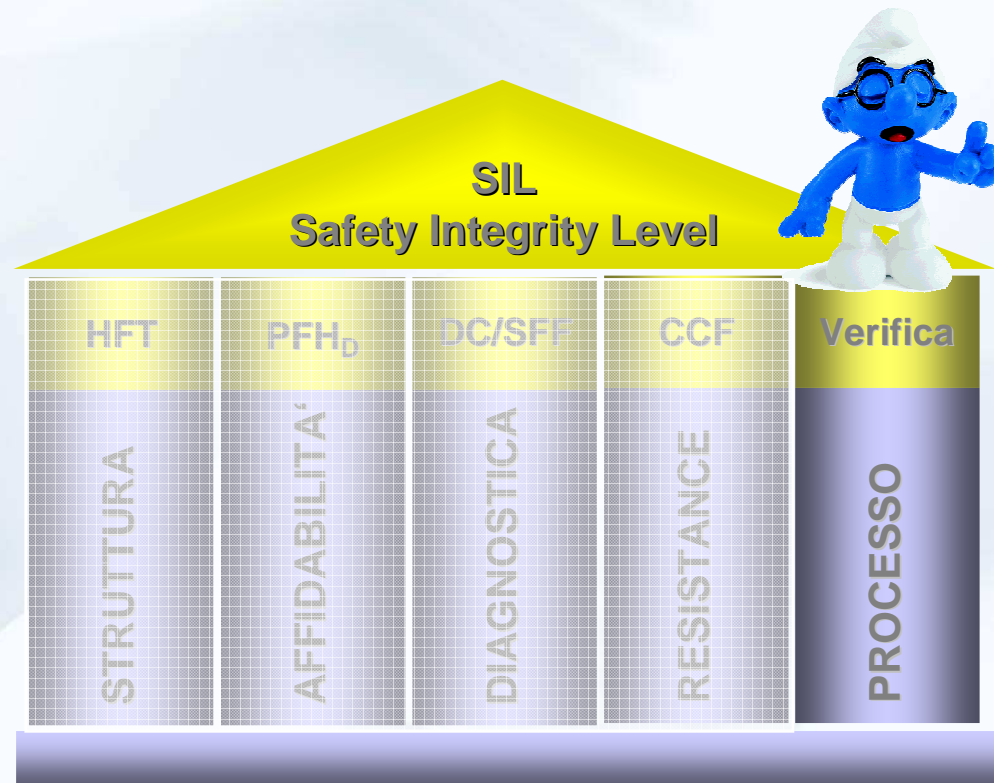
Table F.2 - Estimation of CCF factor (β)

Overall score	CCF factor (β)
< 35	10%
35 ÷ 65	5%
65 ÷ 85	2%
85 ÷ 100	1%

ISO 13849:  
 B10<sub>D</sub> = 20.000.000 Switching cycles  
 Assumption:  
 C = 60 /h



# Aspetti di processo



# Safety related control function



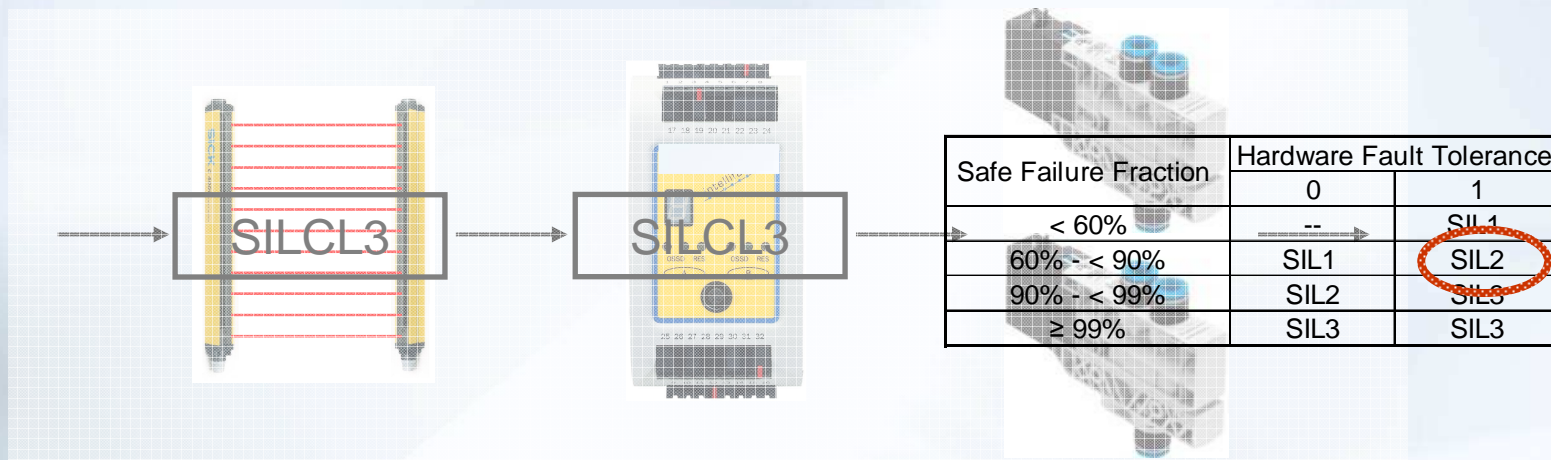
$$PFH_D = 2,5 \cdot 10^{-8}$$

$$PFH_D = 8,0 \cdot 10^{-9}$$

$$PFH_D = 9,0 \cdot 10^{-9}$$

$$\Sigma PFH_D = 4,2 \cdot 10^{-8} < 10^{-6}$$

# Hardware safety integrity



$$\Sigma PFH_D = 4,2 \cdot 10^{-8} < 10^{-6}$$

**SIL 2**

# Misure contro i guasti sistematici





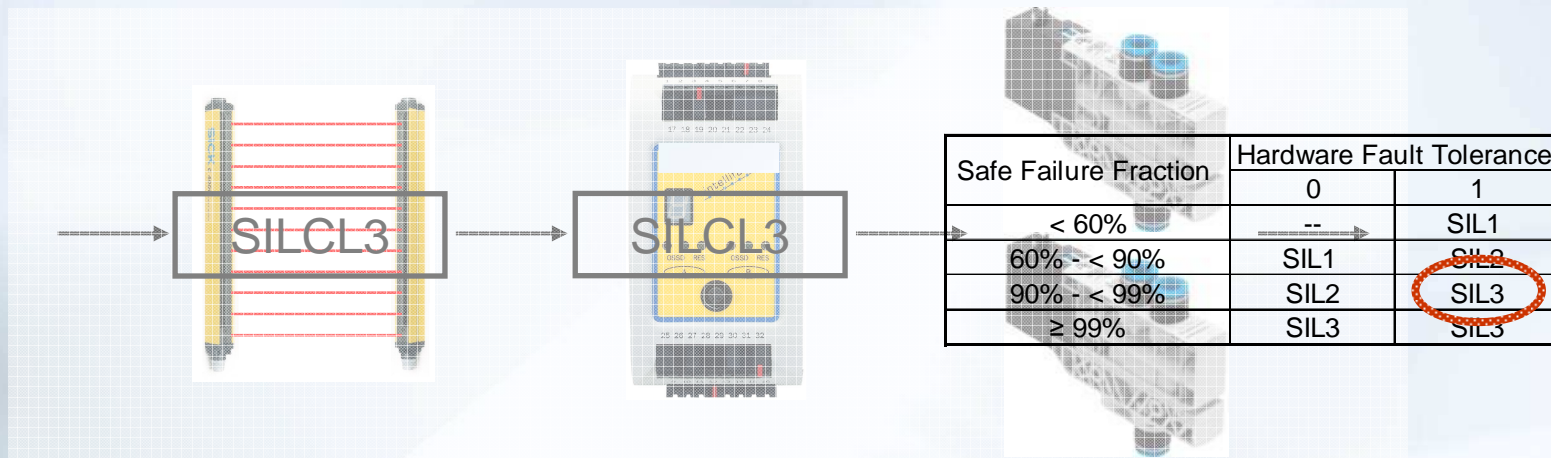
# Misure contro i guasti sistematici

Per il controllo dei guasti sistematici, le seguenti misure devono essere applicate:

- : individuazione dei guasti con monitoraggio on-line;
- : test, tramite comparazione, dell' hardware ridondante;
- : hardware diversi;
- : operare in logica positiva (es. Un interruttore di posizione è premuto con protezione aperta);
- : sovradimensionare con un fattore adatto, quando il produttore può dimostrare che questo aumenta l'affidabilità.

# Hardware safety integrity

Con la diagnostica !



$$\Sigma PFH_D = 4,2 \cdot 10^{-8} < 10^{-7}$$

**SIL 3**



# L'importanza dell'informazione

